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Exercise Sheet No. 6 Advanced Mathematics I

Exercise 26: Consider the polynomial $f : \mathbb{R} \rightarrow \mathbb{R}$ given by $f(x) := \frac{1}{8}x^3 + \frac{3}{8}x^2 - \frac{9}{8}x + \frac{5}{8}$.

- (a) Express $f(x)$ in terms of $x - 1$ and $x + 3$. Use this representation to discuss the behavior of f on the intervals $[-5, \infty)$ and $(-\infty, 3]$. Do not use the derivative f' for this discussion.
- (b) Use a sketch of f to find intervals on which f has an inverse function. Also sketch the inverse on these intervals.

Solution:

- (a) First we will expand the function about the point $x_1 = 1$. We look for an expression in the form:

$$f(x) = \sum_{k=0}^3 a_k(x-1)^k \quad \text{with } a_k \in \mathbb{R} \text{ for } k = 0, \dots, 3$$

We replace every x with the equivalent form $x + 1 - 1$ obtaining:

$$f(x) = \frac{1}{8}(x-1+1)^3 + \frac{3}{8}(x-1+1)^2 - \frac{9}{8}(x-1+1) + \frac{5}{8}$$

Now we expand using the binomial formula

$$f(x) = \frac{1}{8}[(x-1)^3 + 3(x-1)^2 + 3(x-1) + 1] + \frac{3}{8}[(x-1)^2 + 2(x-1) + 1] - \frac{9}{8}[(x-1) + 1] + \frac{5}{8}$$

We obtain

$$f(x) = \frac{1}{8}[(x-1)^3 + 6(x-1)^2].$$

Now we expand the function about the point $x_2 = -3$:

$$\begin{aligned} f(x) &= \frac{1}{8}(x+3-3)^3 + \frac{3}{8}(x+3-3)^2 - \frac{9}{8}(x+3-3) + \frac{5}{8} \\ &= \frac{1}{8}[(x+3)^3 + 3(x+3)^2(-3) + 3(x+3)(-3)^2 + (-3)^3] \\ &\quad + \frac{3}{8}[(x+3)^2 + 2(x+3)(-3) + (-3)^2] - \frac{9}{8}[(x+3) - 3] + \frac{5}{8} \\ &= \frac{1}{8}[(x+3)^3 - 6(x+3)^2 + 32] \end{aligned}$$

Looking at the expansion about $x = 1$, we see that at $x = 1$ there is a local minimum. Looking at the expansion about $x = -3$, we see that at $x = -3$ there is a local maximum. We deduce that f is increasing until to $x = -3$, then decreasing until $x = 1$, and then increasing.

- (b) Since the function is monotone on the intervals $(-\infty, -3]$, $[-3, 1]$ and $[1, \infty)$, these are the intervals on which f has an inverse.

Exercise 27: Decide whether the functions are injective, surjective or bijective. If the function is bijective, then find the inverse. Justify your answer.

- (a) $f: \mathbb{R} \rightarrow \mathbb{R}_{\geq 0}, f(x) = |x|$
- (b) $g: \mathbb{N} \rightarrow \mathbb{N}, g(n) = n + 1$
- (c) $h: \mathbb{N}_0 \rightarrow \mathbb{Z}, h(n) = \begin{cases} \frac{n}{2}, & \text{for } n \text{ even} \\ -\frac{n+1}{2}, & \text{for } n \text{ odd} \end{cases}$

Solution:

- (a) f is surjective. Indeed, take any $y \in \mathbb{R}_{\geq 0}$, then $f(y) = |y| = y$. f is not injective since $f(-1) = f(1) = 1$.

- (b) The function g is injective. If we have $g(n) = g(m)$, then $n + 1 = m + 1$ so $n = m$. g is not surjective since 1 is not in the image.
- (c) The function h is bijective. First we show h is injective. Assume $h(n) = h(m)$. If $h(n) = h(m) = 0$ then m and n are both 0. Assume $h(m)$ and $h(n)$ are both equal to a positive number k . Then m and n are even and $n/2 = k$ and $m/2 = k$. Thus, $n/2 = m/2$ and so $n = m$. Assume now that $h(m)$ and $h(n)$ are both equal to a negative number k . Then m and n are odd and $-\frac{n+1}{2} = k$ and $-\frac{m+1}{2} = k$, and we again have $m = n$.

Now we show h is surjective. Let $k \in \mathbb{N}_0$. If $k \geq 0$, then $h(2k) = k$. If $k < 0$, then $h(-2k + 1) = k$. Thus every element of \mathbb{N}_0 is in the image of h . Since h is injective and surjective it is bijective.

Exercise 28:

Using the sequence definition of continuity, find $w \in \mathbb{R}$ so that the function

$$f(x) = \frac{x\sqrt{x} - 1}{\sqrt{x} - 1}, \quad x > 0, x \neq 1,$$

is continuous if we set $f(1) := w$.

Solution:

We have $f(x) = \frac{x\sqrt{x}-1}{\sqrt{x}-1} = \frac{(\sqrt{x})^3-1}{\sqrt{x}-1} = \frac{(\sqrt{x}-1)(x+\sqrt{x}+1)}{\sqrt{x}-1} = x + \sqrt{x} + 1$. Take a sequence (x_n) with $x_n > 0$, $x_n \neq 1$ and $x_n \rightarrow 1$ ($n \rightarrow \infty$), then we have $f(x_n) \rightarrow 1 + \sqrt{1} + 1 = 3$ ($n \rightarrow \infty$). Thus we must set $f(1) = w = 3$.

Now we show that f with $f(1)$ defined to be 3 is in fact continuous. Take a sequence (x_n) converging to 1, with $x_n > 0$, but now allowing $x_n = 1$ for some values of n . Let (x_{n_k}) be the subsequence where $x_{n_k} = 1$ and (x_{j_k}) be the subsequence where $x_{j_k} \neq 1$. Let $\epsilon > 0$, then since we know that as (x_{j_k}) converges to 1 we have $(f(x_{j_k}))$ converging to 3, we may find an $N \in \mathbb{N}$ such that $k \geq N$ implies $|f(x_{j_k}) - 3| < \epsilon$. Then for $k \geq N$ we have $|f(x_k) - 3| < \epsilon$ because if $x_k \neq 1$ it is a member of the subsequence (x_{j_k}) and otherwise it is equal to 1 (and so the difference $f(x_k) - 3$ is 0). Thus with the definition $f(1) = 3$ the function is continuous everywhere it is defined.

Exercise 29:

The function $f : \mathbb{R} \setminus \{-2\} \rightarrow \mathbb{R}$ is defined as

$$f(x) = \begin{cases} \frac{5x \cdot |x - 3|}{x^2 - x - 6} & , \quad x \in \mathbb{R} \setminus \{-2, 1, 3\} \quad , \\ y_1 & , \quad x = 1 \quad , \\ y_2 & , \quad x = 3 \quad . \end{cases}$$

Is it possible for f to be continuous at $x = 1$ and $x = 3$ with a suitable choice of y_1, y_2 ? Give the appropriate values, or show that none exist.

Solution:

Let (x_n) be a sequence converging to 1: $\lim_{n \rightarrow \infty} x_n = 1$. Assume f is continuous, then we have

$$y_1 = f(1) = \lim_{n \rightarrow \infty} f(x_n).$$

It follows that

$$y_1 = \lim_{n \rightarrow \infty} \frac{5x_n \cdot |x_n - 3|}{x_n^2 - x_n - 6} = \frac{5 \lim_{n \rightarrow \infty} x_n \cdot \left| \lim_{n \rightarrow \infty} x_n - 3 \right|}{\left(\lim_{n \rightarrow \infty} x_n \right)^2 - \lim_{n \rightarrow \infty} x_n - 6} = \frac{5 \cdot 1 \cdot |1 - 3|}{1 - 1 - 6} = \frac{10}{-6} = -\frac{5}{3}.$$

Thus we must take $y_1 = -\frac{5}{3}$.

Now let (x_n) be a sequence converging to 3: $\lim_{n \rightarrow \infty} x_n = 3$. We require

$$y_2 = f(3) = \lim_{n \rightarrow \infty} f(x_n).$$

Consider the sequences

$$x_n^+ = 3 + \frac{1}{n} \quad \text{und} \quad x_n^- = 3 - \frac{1}{n}.$$

We have

$$y_2 = \lim_{n \rightarrow \infty} f(x_n^+) = \lim_{n \rightarrow \infty} (5x_n^+) \cdot \lim_{n \rightarrow \infty} \frac{\frac{1}{n}}{9 + \frac{6}{n} + \frac{1}{n^2} - 3 - \frac{1}{n} - 6} = 15 \lim_{n \rightarrow \infty} \frac{1}{5 + \frac{1}{n}} = \frac{15}{5} = 3.$$

and also

$$y_2 = \lim_{n \rightarrow \infty} f(x_n^-) = \lim_{n \rightarrow \infty} (5x_n^-) \cdot \lim_{n \rightarrow \infty} \frac{\left|-\frac{1}{n}\right|}{9 - \frac{6}{n} + \frac{1}{n^2} - 3 + \frac{1}{n} - 6} = 15 \lim_{n \rightarrow \infty} \frac{1}{-5 + \frac{1}{n}} = \frac{15}{-5} = -3.$$

but this means that we cannot choose a value for $x = 3$ so that the function is continuous there.

Exercise 30:

Prove the following functions are Lipschitz-continuous and find the respective Lipschitz constant.

- (a) $f(x) = \sqrt{1 + 4x}$, $D = [0, 4)$,
- (b) $f(x) = x^2 + 4x - 1$, $D = (-4, 3)$,
- (c) $f(x) = \sqrt{2x^2 + 1}$, $D = [-2, 1]$.

Solution:

- (a) Let $x_1, x_2 \in [0, 4)$. We have

$$|f(x_1) - f(x_2)| = |\sqrt{1 + 4x_1} - \sqrt{1 + 4x_2}| = \left| \frac{4(x_1 - x_2)}{\sqrt{1 + 4x_1} + \sqrt{1 + 4x_2}} \right| \leq \frac{4}{2\sqrt{2}} |x_1 - x_2| = \sqrt{2} |x_1 - x_2|.$$

The function is thus Lipschitz continuous and the constant $\sqrt{2}$ works.

- (b) Let $x_1, x_2 \in (-4, 3)$. We have

$$\begin{aligned} |f(x_1) - f(x_2)| &= |x_1^2 + 4x_1 - 1 - (x_2^2 + 4x_2 - 1)| = |(x_1 + x_2 + 4)| |x_1 - x_2| \\ &\leq (|x_1| + |x_2| + 4) |x_1 - x_2| \leq (4 + 4 + 4) |x_1 - x_2| = 12 |x_1 - x_2|. \end{aligned}$$

The function is thus Lipschitz continuous and the constant 12 works.

- (c) For $x_1, x_2 \in [-2, 1]$, we have

$$\begin{aligned} |f(x_1) - f(x_2)| &= |\sqrt{2x_1^2 + 1} - \sqrt{2x_2^2 + 1}| = \frac{|2(x_1^2 - x_2^2)|}{\sqrt{2x_1^2 + 1} + \sqrt{2x_2^2 + 1}} = \frac{2|x_1 + x_2|}{\sqrt{2x_1^2 + 1} + \sqrt{2x_2^2 + 1}} |x_1 - x_2| \\ &\leq \frac{2(|x_1| + |x_2|)}{1 + 1} |x_1 - x_2| \leq \frac{8}{2} |x_1 - x_2| = 4 |x_1 - x_2|, \end{aligned}$$

The function is thus Lipschitz continuous and the constant 4 works.

Due date: Your written solutions are due at 14:00 on Tuesday, **4 December, 2018**.

Please submit them at the beginning of the problem session.

Website: For detailed information regarding this course visit the following web page:

<http://www.math.kit.edu/iag6/edu/am12018w/en>