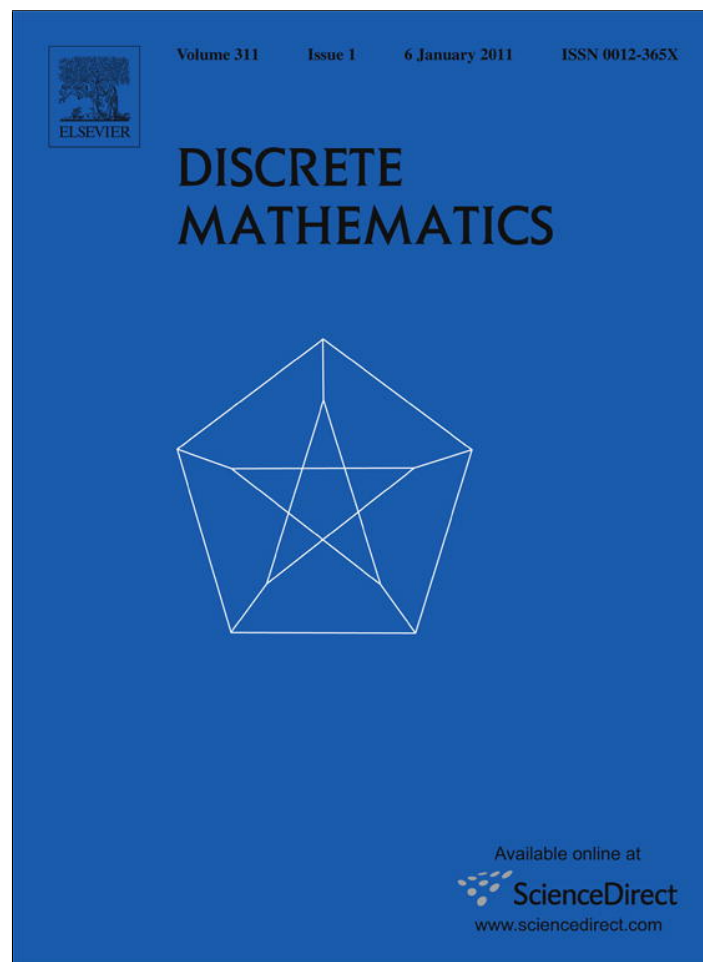


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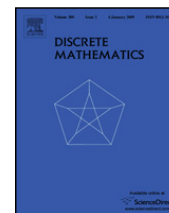
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Note

A note on the monotonicity of mixed Ramsey numbers

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ABSTRACT

For two graphs, G and H , an edge coloring of a complete graph is (G, H) -good if there is no monochromatic subgraph isomorphic to G and no rainbow subgraph isomorphic to H in this coloring. The set of numbers of colors used by (G, H) -good colorings of K_n is called a mixed Ramsey spectrum. This note addresses a fundamental question of whether the spectrum is an interval. It is shown that the answer is “yes” if G is not a star and H does not contain a pendant edge.

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1. Introduction

Let G and H be two graphs on fixed numbers of vertices. An edge coloring of a complete graph K_n is called (G, H) -good if there is no monochromatic copy of G and no rainbow (totally multicolored) copy of H in this coloring. This, sometimes called *mixed Ramsey coloring*, is a hybrid of classical Ramsey [12] and anti-Ramsey [4] colorings. As shown by Jamison and West [10], a (G, H) -good coloring of an arbitrarily large complete graph exists unless G is a star or H is a forest.

Let $S(n; G, H)$ be the set of the numbers k , such that there is a (G, H) -good k -coloring of K_n . Here, a k -coloring is a coloring using exactly k colors. We call $S(n; G, H)$ a *spectrum*. Let $\max S(n; G, H)$ and $\min S(n; G, H)$ be the maximum number and minimum number in $S(n; G, H)$, respectively. The behaviors of these functions have been studied by [2,6,1] among others. Note that, if there were no restrictions on graphs G or H , then the spectrum would be an interval. For a graph G on at least $n + 1$ vertices, $S(n; G, H) = [1, AR(n, H)]$, where $AR(n, H)$ is the classical anti-Ramsey number; for a graph H on at least $n + 1$ vertices, $S(n; G, H) = [k, \binom{n}{2}]$, where $k = k(n, G)$ is the largest number such that $n \geq r_{k-1}(G)$, the classical multicolor Ramsey number.

The main question investigated in this note is whether the same behavior continues to hold for mixed Ramsey colorings. Specifically, for a given integer n , and for graphs G and H , is $S(n; G, H)$ an interval? When G is not a star, for most graphs H , we show that $S(n; G, H)$ is an interval.

Theorem 1. *Let G be a graph that is not a star, and let H be a graph with minimum degree at least 2. Then, for any natural number n , $S(n; G, H)$ is an interval.*

The simplest connected graph H that is not a tree and that has a vertex of degree 1 is $K_3 + e$, a 4-vertex graph obtained by attaching a pendant edge to a triangle. We show that $S(n; G, K_3 + e)$ could have a gap for some graphs G and some values of n . However, when n is arbitrarily large, we do not have a single example of a graph G and a graph H for which $S(n; G, H)$ is not an interval.

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The next theorem is a collection of results on $S(n; G, K_3 + e)$. Here, ℓK_2 is a matching of size ℓ , C_4 is a 4-cycle, and P_4 is a path on four vertices.

Theorem 2. • $S(n; \ell K_2, K_3) = S(n; \ell K_2, K_3 + e) = \lceil \frac{n-2\ell+1}{\ell-1} \rceil + 1, n - 1$ for $n \geq 4$ and $\ell \geq 2$,
 $S(n; P_4, K_3) = S(n; P_4, K_3 + e) = [n - 2, n - 1]$ for $n \geq 4$,
 $S(n; C_4, K_3) = S(n; C_4, K_3 + e) = [n - 3, n - 1]$ for $n \geq r_3(C_4) = 11$,
 $S(n; K_3, K_3) = S(n; K_3, K_3 + e) = [c \log n + c', n - 1]$ for $n \geq r_3(K_3) = 17$, where c and c' are constants,
 $S(n; K_{1,\ell}, K_3) = S(n; K_{1,\ell}, K_3 + e) = \emptyset$ for $n \geq 3\ell + 1$ and $\ell \geq 2$.
 • $S(10; C_4, K_3 + e) = \{3, 7, 8, 9\}$.

Corollary 3. If $\ell \geq 2$ and $n \geq \max\{17, 3\ell + 1\}$, then $S(n; G, K_3 + e)$ is an interval for any $G \in \{\ell K_2, K_3, P_4, C_4, K_{1,\ell}\}$. However, $S(n; G, K_3 + e)$ is not an interval if $n = 10$ and $G = C_4$.

Open question. Are there graphs G and H such that for any natural number N there is $n > N$ so that $S(n; G, H)$ is not an interval?

2. Definitions and proofs of main results

For an edge coloring c of K_n and a vertex $x \in V(K_n)$, let $N_c(x)$ be the set of colors used only on edges incident to x , and for $X \subseteq V(K_n)$ let $c(X)$ be the set of colors used on edges induced by X . Let $|c|$ denote the number of colors used in the coloring c . Then $|c| = |N_c(x)| + |c(V(K_n) - x)|$ for any $x \in V(K_n)$.

Observation 1. If G is not a star, and A and B are color classes that are stars with the same center in a (G, H) -good k -coloring c of K_n , then replacing A and B in c with a new color class $A \cup B$ gives a (G, H) -good $(k - 1)$ -coloring.

Observation 2. For any graphs G and H ,

$$\min S(n; G, H) \leq \min S(n + 1; G, H).$$

Proof. Consider a (G, H) -good coloring of K_{n+1} with k colors. Delete one vertex to get a (G, H) -good coloring of K_n with $k' \leq k$ colors.

Observation 3. For $G \subseteq G'$ and $H \subseteq H'$,

$$S(n; G, H) \subseteq S(n; G', H) \subseteq S(n; G', H'), \quad \text{and} \quad S(n; G, H) \subseteq S(n; G, H') \subseteq S(n; G', H').$$

Proof. If there is no monochromatic G and no rainbow H in a coloring of $E(K_n)$, then there is no monochromatic G' and no rainbow H' in this coloring. \square

Observation 4. If G is not a star, H has minimum degree at least 2, and $k \in S(n; G, H)$, then $k + 1 \in S(n + 1; G, H)$.

Proof. Consider a (G, H) -good k -coloring of K_n . Add a new vertex x , and color edges incident to x by a new color to get a (G, H) -good $(k + 1)$ -coloring of K_{n+1} . \square

Proof of Theorem 1. We prove that $[\min S(n; G, H), \max S(n; G, H)] \subseteq S(n; G, H)$ using induction on n . When $n = 2$, every coloring uses one color.

Let $n \geq 3$. Consider the smallest k such that $[k, \max S(n; G, H)] \subseteq S(n; G, H)$. First, note that, for any (G, H) -good k -coloring c of K_n and any vertex x , we have $|N_c(x)| \leq 1$; otherwise, by applying **Observation 1**, we obtain a (G, H) -good $(k - 1)$ -coloring of K_n , which contradicts the minimality of k . Consider a (G, H) -good k -coloring of K_n and any vertex x , and delete it. We now have a (G, H) -good coloring of K_{n-1} with k or $k - 1$ colors. Here, we note that $\max S(n - 1; G, H) \geq k - 1$. By induction hypothesis, $S(n - 1; G, H)$ is an interval, i.e. $[\min S(n - 1; G, H), \max S(n - 1; G, H)] = S(n - 1; G, H)$. Then, by **Observation 4**, $[\min S(n - 1; G, H) + 1, \max S(n - 1; G, H) + 1] \subseteq S(n; G, H)$. Since $\min S(n; G, H) \geq \min S(n - 1; G, H)$ from **Observation 2**, $[\min S(n; G, H), \max S(n - 1; G, H) + 1] \subseteq S(n; G, H)$. Since $k \leq \max S(n - 1; G, H) + 1$ and $[k, \max S(n; G, H)] \subseteq S(n; G, H)$, we finally have that $[\min S(n; G, H), \max S(n; G, H)] \subseteq S(n; G, H)$. \square

For the proof of **Theorem 2**, we shall use the function

$$f(k; G, H) := \max\{n : \text{there is a } (G, H)\text{-good coloring of } K_n \text{ using exactly } k \text{ colors}\}.$$

Note that, if $f(k; G, H) = n$, then $\min S(n; G, H) \leq k$.

Observation 5. If $f(k; G, H) = n$ and $f(\tilde{k}; G, H) < n$ for any $\tilde{k} < k$, then $\min S(n; G, H) = k$. In particular, if f is strictly increasing in k , then $f(k; G, H) = n$ implies that $\min S(n; G, H) = k$.

Proof of Theorem 2. First, observe that $\max S(n; G, H) \leq AR(n, H)$, where $AR(n, H)$ is the classical anti-Ramsey number, the maximum number of colors in an edge coloring of K_n with no rainbow subgraphs isomorphic to H . If G is not a star, then $\max S(n; G, K_3) = AR(n, K_3) = n - 1$; see [2]. Moreover, from Observation 3, we obtain that $\max S(n; G, K_3) \leq \max S(n; G, K_3 + e)$; and from [7], we know that $AR(n, K_3) = AR(n, K_3 + e)$ for $n \geq 4$. Thus, when G is not a star, $\max S(n; G, K_3) = \max S(n; G, K_3 + e) = n - 1$ for $n \geq 4$.

Therefore, for $n \geq 4$, if $\min S(n; G, K_3) = \min S(n, G, K_3 + e)$ and G is not a star, then we can conclude that $S(n; G, K_3 + e) = S(n; G, K_3)$, which is an interval by Theorem 1. Next, we shall analyze $\min S(n, G, K_3 + e)$. We note that $f(k; G, H) + 1 \leq r_k(G)$, where $r_k(G)$ denotes the classical k -color Ramsey number for G . The equality holds if there is a k -coloring of $E(K_{r_k(G)-1})$ with no monochromatic G and no rainbow H .

Case 1. $G = \ell K_2$

From [11], we have that $r_k(\ell K_2) = (k - 1)(\ell - 1) + 2\ell$. The extremal coloring providing this Ramsey number can be constructed as follows. Starting with a complete graph on $2\ell - 1$ vertices, whose edges are colored entirely with color 1, add $\ell - 1$ vertices and color all edges incident to these vertices with color 2. Then add another $\ell - 1$ vertices and color all edges incident to these vertices with color 3. Repeat this process until we get a k -coloring of a complete graph on $2\ell - 1 + (k - 1)(\ell - 1)$ vertices with no monochromatic ℓK_2 . Note that this coloring contains no rainbow cycles; thus, it contains neither a rainbow copy of K_3 nor a rainbow copy of $K_3 + e$. Hence, $f(k; \ell K_2, H) = f(k; \ell K_2, H + e) = (k - 1)(\ell - 1) + 2\ell - 1$ for any H that is not a forest. By Observation 5, $\min S(n; \ell K_2, H) = \min S(n; \ell K_2, H + e)$. In particular, for $\ell \geq 2$, $\min S(n; \ell K_2, K_3) = \min S(n; \ell K_2, K_3 + e) = \lceil \frac{n-2\ell+1}{\ell-1} \rceil + 1$.

Case 2. $G \in \{K_3, P_4, C_4\}$

From [3,2,8,5,6], we have that (i) $f(k; K_3, K_3) = f(k; K_3, K_3 + e) = \lambda(k)$ for $k \geq 1$ and $k \neq 3$, $f(3; K_3, K_3) = 10$, and $f(3; K_3, K_3 + e) = r_3(K_3) - 1 = 16$, where $\lambda(k) = 5^{k/2}$ if k is even, $\lambda(k) = 2 \cdot 5^{(k-1)/2}$ if k is odd; (ii) $f(k; P_4, K_3) = f(k; P_4, K_3 + e) = k + 2$ for $k \geq 1$; and (iii) $f(k; C_4, K_3) = f(k; C_4, K_3 + e) = k + 3$ for $k = 2$ or $k \geq 4$, $f(3; C_4, K_3) = 6$, and $f(3; C_4, K_3 + e) = r_3(C_4) - 1 = 10$. Therefore, from Observation 5, we conclude that (i) $\min S(n; K_3, K_3) = \min S(n; K_3, K_3 + e) = c \log n + c'$ for $n \geq r_3(K_3) = 17$ and some constants c, c' ; (ii) $\min S(n; P_4, K_3) = \min S(n; P_4, K_3 + e) = n - 2$ for $n \geq 4$; and (iii) $\min S(n; C_4, K_3) = \min S(n; C_4, K_3 + e) = n - 3$ for $n \geq r_3(C_4) = 11$. Thus, $\min S(n; G, K_3) = \min S(n; G, K_3 + e)$ for $G \in \{K_3, P_4, C_4\}$ and $n \geq r_3(G)$.

Case 3. $G = K_{1,\ell}$

In [9], it was shown that any coloring of $E(K_n)$ with no rainbow triangles has a monochromatic star $K_{1,2n/5}$. Using this fact and the pigeonhole principle, we easily see that any coloring of $E(K_n)$ with no rainbow $K_3 + e$ has a monochromatic star $K_{1,(n-1)/3}$. Namely, let c be a coloring of $E(K_n)$ with no rainbow $K_3 + e$. Since $2n/5 \geq (n - 1)/3$, we may assume that there is a rainbow copy T of K_3 . To avoid a rainbow $K_3 + e$ in this coloring, the edges between $V(T)$ and $V(K_n) - V(T)$ have colors only presented on the edges of T . Thus, for any vertex x in T , the number of colors used on edges incident to x is at most three. By the pigeonhole principle, we can find a monochromatic star $K_{1,s}$ with vertex x and $s \geq (n - 1)/3$ vertices in $V(K_n) - x$. This is sharp, as the referee remarked (one can also find it in [6]). Consider a complete graph K_n and partition $V(K_n)$ into four subsets V_0, V_1, V_2 , and V_3 , with $|V_0| = 1$ and $|V_i| = (n - 1)/3$ for $1 \leq i \leq 3$. Color all edges induced by $V_0 \cup V_i$ with color i for $1 \leq i \leq 3$, and all edges between V_j and V_k with color i for $\{i, j, k\} = \{1, 2, 3\}$. In this coloring, there is no monochromatic star $K_{1,s}$ with $s > (n - 1)/3$. Therefore, $S(n; K_{1,\ell}, K_3) = S(n; K_{1,\ell}, K_3 + e) = \emptyset$ if $n \geq 3\ell + 1$.

Summarizing Cases 1, 2, and 3, we have that $S(n; G, K_3) = S(n; G, K_3 + e)$ is an interval if G is one of $\{\ell K_2, K_3, P_4, C_4, K_{1,\ell}\}$ and $n \geq N$, where N is a constant depending only on G . This concludes the proof of the first part of Theorem 2.

Consider the case when $G = C_4, H = K_3 + e$, and $n = 10$. Since $r_2(C_4) = 6 < 10$, we see that there is no $(C_4, K_3 + e)$ -good coloring of K_{10} in two colors. On the other hand, since $r_3(C_4) = 11$, there is a $(C_4, K_3 + e)$ -good coloring of K_{10} in three colors. Thus $\min S(10; C_4, K_3 + e) = 3$. We also have that $\max S(10; C_4, K_3 + e) = AR(10, K_3) = 9$. Since $f(k; C_4, K_3 + e) = k + 3 < 10$ for $4 \leq k \leq 6$, there is no $(C_4, K_3 + e)$ -good coloring of K_{10} with 4, 5, or 6 colors. To construct an 8-colorings and a 7-coloring of K_{10} with no rainbow $K_3 + e$ and no monochromatic C_4 , consider a vertex set $\{v_1, \dots, v_{10}\}$. Let $c(v_i v_j) = i, 1 \leq i \leq 7, i < j; c(v_8 v_9) = c(v_8 v_{10}) = c(v_9 v_{10}) = 8$. Let $c'(v_i v_j) = i, 1 \leq i \leq 5, i < j; c'(v_6 v_7) = c'(v_7 v_8) = c'(v_8 v_9) = c'(v_9 v_{10}) = c'(v_{10} v_6) = 6$, and all other edges get color 7 under c' . Note that c and c' are an 8-coloring and a 7-coloring, respectively, containing no rainbow K_3 and no monochromatic C_4 . Therefore, $S(10; C_4, K_3 + e) = \{3, 7, 8, 9\}$. \square

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