

Point processes and model sets

Workshop Aperiodic order and approximate groups

Maximilian Wackenhuth | 23. August 2023

Contents

1. Motivation

2. Setting

3. Point processes

- Examples
- Point processes

4. Associated objects

- Hof autocorrelation
- Intensity of point processes

5. Diffraction

- Diffraction
- Properties of diffraction

6. Sphere packings

7. Cohn-Elkies argument

- The argument for lattices
- The argument for point processes

Motivation
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Setting
○○○

Point processes
○○○○○○○

Associated objects
○○○○○○○○

Diffraction
○○○

Sphere packings
○○○○○

Cohn-Elkies argument
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Motivation

Motivation
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Setting
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Point processes
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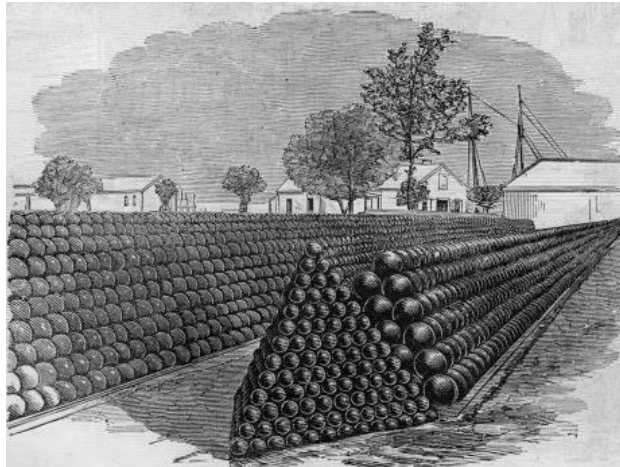
Associated objects
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Diffraction
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Sphere packings
○○○○○

Cohn-Elkies argument
○○○○○

Sphere packings



Motivation
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Setting
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Point processes
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Associated objects
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Diffraction
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Sphere packings
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Cohn-Elkies argument
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Sphere packings

Sphere packing

A sphere packing is a set of disjoint open balls of equal radius.

Classical density

The classical density of a sphere packing S is given by

$$D(S, x) := \lim_{R \rightarrow \infty} \frac{\text{vol}(B(x, R) \cap \bigcup S)}{\text{vol}(B(x, R))}$$

- Böröczky: Classical density very degenerate in \mathbb{H}^n
- Bowen: Study “random sphere packings” to filter out Böröczkys degenerate examples.
- Bowen: For only countably many radii periodic sphere packings in \mathbb{H}^n are optimally dense.

Setting

Motivation
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Setting
●oo

Point processes
oooooooo

Associated objects
oooooooo

Diffraction
oo

Sphere packings
ooooo

Cohn-Elkies argument
ooooo

Setting

- G lsc group.
- $K \leq G$ compact.
- G/K has G -invariant metric d .
- Nice pointwise ergodic theorem for G :

$$\frac{1}{m_G(G_t)} \int_{G_t} f(g^{-1} \cdot x) dm_G(g) \rightarrow \int_X f d\mu, \quad \forall f \in C_c(X)$$

holds for all x in G -invariant set of full measure.

Examples

- \mathbb{R}^n : $G = \mathbb{R}^n$, $K = \{e\}$.
- \mathbb{R}^n : $G = \text{Iso}(\mathbb{R}^n)$, $K = O(n)$.
- \mathbb{H}^2 : $G = \text{SL}_2(\mathbb{R})$, $K = \text{SO}(2)$.
- \mathbb{H}^n : $G = \text{SO}(n, 1)$, $K = O(n)$.

Point processes

Motivation
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Setting
○○○

Point processes
●○○○○○○○

Associated objects
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Diffraction
○○○

Sphere packings
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Cohn-Elkies argument
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Example: Lattices

$\Gamma \leq G$ lattice (discrete and cofinite subgroup). $\Omega_\Gamma := G/\Gamma$

$$\xi : (\Omega_\Gamma, \mathbb{P}) \rightarrow \text{Cl}(G), \quad g\Gamma \mapsto g\Gamma$$

\rightsquigarrow “random” translate of lattice Γ .

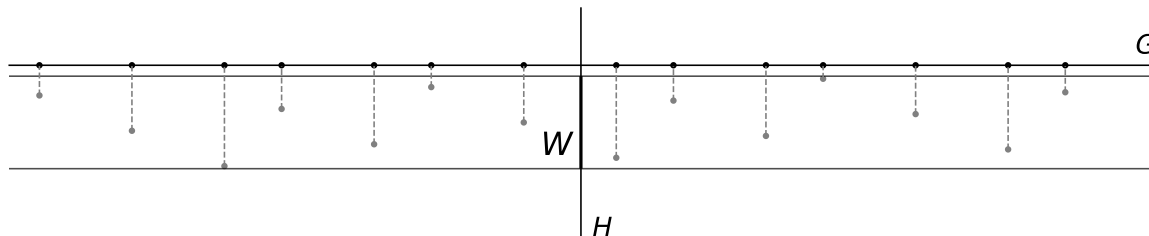
Example: Model sets

Model sets

- H lcsc group.
- $\Gamma < G \times H$ a lattice projecting densely to H and injectively to G .
- $W \subset H$ compact.

Then the set $\pi_G(G \times W \cap \Gamma) \subset G$ is called a *model set*.

Example: Model sets



Motivation
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Setting
○○○

Point processes
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Associated objects
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Diffraction
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Sphere packings
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Cohn-Elkies argument
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Example: Model sets

Λ model set.

$$\Omega_\Lambda := \overline{G \cdot \Lambda} \setminus \{\emptyset\}$$

W nice \implies exists unique G -inv. probability measure \mathbb{P} on Ω_Λ .

$$\xi : (\Omega_\Lambda, \mathbb{P}) \rightarrow \text{Cl}(G), \quad \Lambda' \mapsto \Lambda'$$

\rightsquigarrow “random” uniformly discrete point set.

Example: Approximate lattices

Approximate groups

$\Lambda \subset G$ such that

- 1 $e \in \Lambda$
- 2 $\Lambda = \Lambda^{-1}$,
- 3 there is a finite set F such that $\Lambda^2 \subset F\Lambda$

Example: Approximate lattices

Strong approximate lattices

$\Lambda \subset G$ uniformly discrete approximate group s.t. \exists nontrivial G -invariant measure \mathbb{P} on $\Omega_\Lambda := \overline{G \cdot \Lambda} \setminus \{\emptyset\}$.

$\rightsquigarrow \xi : (\Omega_\Lambda, \mathbb{P}) \rightarrow \text{Cl}(G), \Lambda' \rightarrow \Lambda'$ “random” point set in G .

Point processes

Point processes

(Ω, \mathbb{P}) probability space.

$$\xi : (\Omega, \mathbb{P}) \rightarrow \text{Cl}(G/K)$$

s.t.

- $\xi(\omega) \subset G/K$ countable for almost all $\omega \in \Omega$
- ξ measurable.

$\mu_\xi := \xi_* \mathbb{P}$ is called distribution of ξ .

- ξ uniformly discrete/FLC/... $\Leftrightarrow \xi(\omega)$ is uniformly discrete/FLC/... for almost all ω
- Call ξ ergodic if μ_ξ is G -ergodic.

In all of the previous examples $K = \{e\}$.

Associated objects

Motivation
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Setting
○○○

Point processes
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Associated objects
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Diffraction
○○○

Sphere packings
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Cohn-Elkies argument
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Hof autocorrelation

ξ uniformly discrete ergodic point process.

Hof autocorrelation

Fix a “generic” point Λ of ξ .

$$\eta_\xi(f) := \lim_{R \rightarrow \infty} \frac{1}{\text{vol}(B(x_0, R))} \sum_{x \in \Lambda \cap B(x_0, R)} \sum_{y \in \Lambda} f(x^{-1}y), \quad \forall f \in C_c(K \backslash G/K).$$

In many cases this gives a well-defined measure on G/K only depending on ξ .

Autocorrelation measure

The Hof autocorrelation is conceptually easy, but there is a measure that is easier to work with.

Periodization

ξ ergodic uniformly discrete point process, Λ generic point of ξ .

$\implies \Omega_\Lambda := \overline{G \cdot \Lambda} \setminus \{\emptyset\}$ has full measure wrt. μ_ξ .

Periodization

$$\mathcal{P}_\xi : C_c(G/K) \rightarrow C_0(\Omega_\Lambda), f \mapsto (\Lambda' \mapsto \sum_{x \in \Lambda'} f(x)).$$

Autocorrelation of point processes

$$\eta_\xi(f^* * g) = \int_{\Omega_\Lambda} \overline{\mathcal{P}_\xi(f)} \mathcal{P}_\xi(g) d\mu_\xi = \mathbb{E}[\overline{\mathcal{P}_\xi(f)} \mathcal{P}_\xi(g)], \quad \forall f, g \in C_c(G/K)$$

Hof's autocorrelation measure and the autocorrelation

If G has a “nice” ergodic theorem, then the autocorrelation measure and Hof's autocorrelation are equal.

The intensity

$$\int \mathcal{P}_\xi(f) d\mu_\xi = i(\xi) \int f dm_{G/K}, \quad \forall f \in C_c(G/K)$$

Example

- ξ constructed from lattice: $i(\xi) = \frac{1}{|\Gamma|}$
- ξ constructed from model set: $i(\xi) = \frac{m_H(W)}{|\Gamma|}$

Diffraction

Motivation
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Setting
○○○

Point processes
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Associated objects
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Diffraction
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Sphere packings
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Cohn-Elkies argument
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Diffraction

From now on: $C_c(K \backslash G / K)$ commutative.

Diffraction/Spherical diffraction

The *diffraction* of the point process ξ is the Fourier transform $\hat{\eta}_\xi$ of the autocorrelation measure.

Appropriate notion of Fourier transform: spherical transform.

Defining property:

$$\eta_\xi(f^* * f) = \widehat{\hat{\eta}_\xi(f^* * f)}, \quad \forall f \in C_c(K \backslash G / K).$$

Properties of diffraction

- η_ξ is positive measure.
- $\eta_\xi(\{\omega_0\}) = i(\xi)^2$, where ω_0 denotes the “trivial spherical function”.
- $\eta_\xi(f^* * f) = \widehat{\eta_\xi(f^* * f)}$ for all $f \in C_c(K \backslash G / K)$.

Sphere packings

Motivation
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Setting
oo

Point processes
oooooooo

Associated objects
oooooooo

Diffraction
oo

Sphere packings
●oooo

Cohn-Elkies argument
ooooo

Classical density is not well-behaved.

- Classical density is not easy to work with, even in euclidean space there are issues with oscillation.
- Existence of packings that maximize classical density was show by Groemer in 1961.
- In hyperbolic space exponential volume growth leads to dominating boundary terms and dependence on the point x that do not appear in the euclidean case.
- Examples by Böröczky show that the notion of density in the classical sense is very degenerate in hyperbolic space.

Sphere packings

We can think of r -uniformly discrete sets as sphere packings by spheres of radius r .
 \rightsquigarrow Think of r -uniformly discrete point processes as random sphere packings.

Sphere packings

Bowen and Radin: Define density as

$$D(\xi) = \mathbb{P}(d(\xi, x_0) < r),$$

Pointwise ergodic theorems \implies

$D(\xi) =$ classical density of Λ for each generic point Λ of ξ .

if ξ ergodic.

Sphere packings

Bowen and Radin:

Finding maximal sphere packing density \iff finding maximal packing density of ergodic random sphere packings.

Density of random sphere packings

Density formula (W.)

$$D(\xi) = m_{G/K}(B(eK, r))i(\xi).$$

Hence estimating the maximal intensity of point processes in G/K is a worthwhile goal.

Cohn-Elkies argument

Motivation
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Setting
○○○

Point processes
○○○○○○○○

Associated objects
○○○○○○○○○

Diffraction
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Sphere packings
○○○○○○

Cohn-Elkies argument
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Cohn-Elkies estimate

Cohn and Elkies obtained the following bounds for the density of sphere packings in \mathbb{R}^n :

$$C \frac{f(0)}{\widehat{f}(0)}$$

for nice f such that $\widehat{f} \geq 0$, \widehat{f} and $f(x) \leq 0$ for $\|x\| > r$.

\rightsquigarrow Reinterpret their proof in the language of point processes and generalize to get bounds for $i(\xi)$.

$\Gamma \subseteq \mathbb{R}^n$ lattice.

$$\sum_{x \in \Gamma} f(x) = \frac{1}{|\Gamma|} \sum_{t \in \Gamma^*} \hat{f}(t),$$

$$\sum_{x \in \Gamma} f(x) \leq f(0) \quad \text{and} \quad \frac{1}{|\Gamma|} \sum_{t \in \Gamma^*} \hat{f}(t) \geq \frac{1}{|\Gamma|} \hat{f}(0),$$

$$\implies f(0) \geq \frac{1}{|\Gamma|} \hat{f}(0)$$

Conjecture by Cohn and Zhao: This bound holds in hyperbolic space.

Cohn, Lurie and Sarnak: Bound valid for periodic packings.

Argument for point processes

$$\lim_{R \rightarrow \infty} \frac{1}{m_{G/K}(B(eK, R))} \sum_{x \in \Lambda \cap B(eK, R)} \sum_{y \in \Lambda} f(x^{-1}y) = i(\xi)^2 \widehat{f}(\omega_0) + (\widehat{\eta}_\xi(\widehat{f}) - i(\xi)^2 \widehat{f}(\omega_0))$$

$$i(\xi)^2 \widehat{f}(\omega_0) + (\widehat{\eta}_\xi(\widehat{f}) - i(\xi)^2 \widehat{f}(\omega_0)) \geq i(\xi)^2 \widehat{f}(\omega_0)$$

$$\lim_{R \rightarrow \infty} \frac{1}{m_{G/K}(B(eK, R))} \sum_{x \in \Lambda \cap B(eK, R)} \sum_{y \in \Lambda} f(x^{-1}y) \leq \lim_{R \rightarrow \infty} \frac{1}{m_{G/K}(B(eK, R))} \sum_{x \in \Lambda \cap B(eK, R)} f(KeK) = i(\xi) f(KeK)$$

Bound for point processes

$$i(\xi) \leq \frac{f(KeK)}{\widehat{f}(\omega_0)}$$

Motivation
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Setting
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Point processes
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Associated objects
○○○○○○○○

Diffraction
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Sphere packings
○○○○○○

Cohn-Elkies argument
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