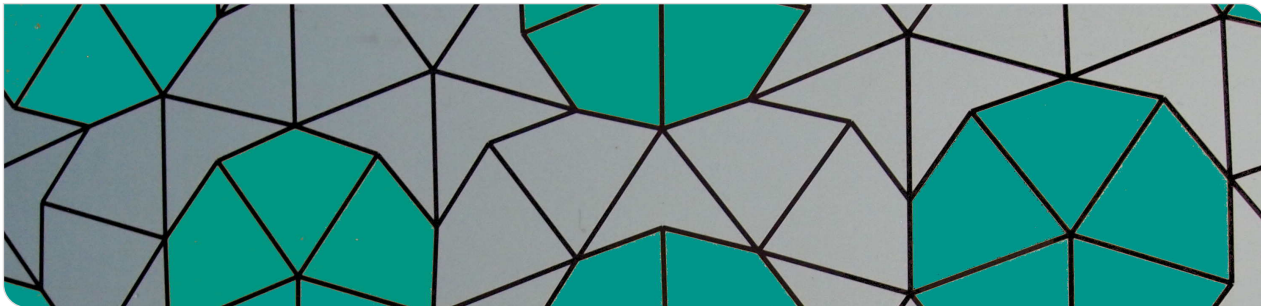


Complexity of Model Sets - An Extension of the Julien-Koivusalo-Walton Approach

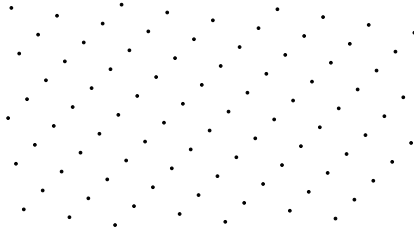
Workshop Aperiodic Order 2024

Peter Kaiser | August 2024

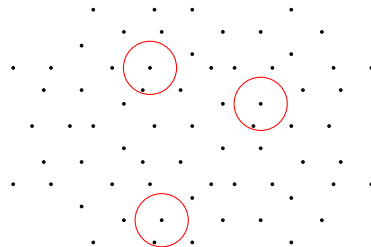
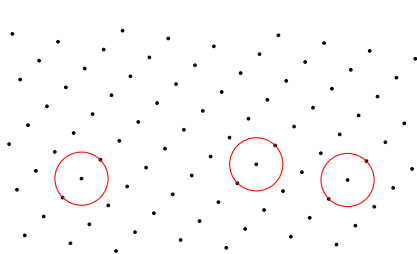


Motivation - Complexity

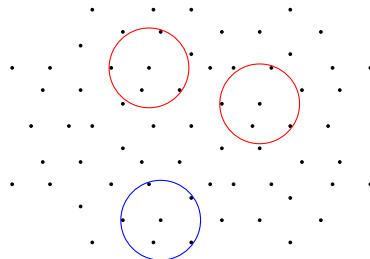
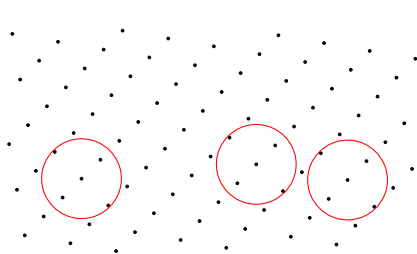
Motivation - Complexity



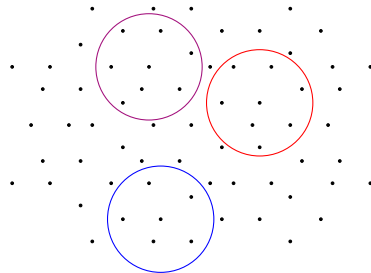
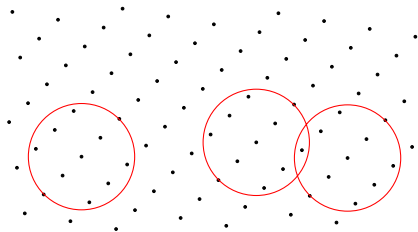
Motivation - Complexity



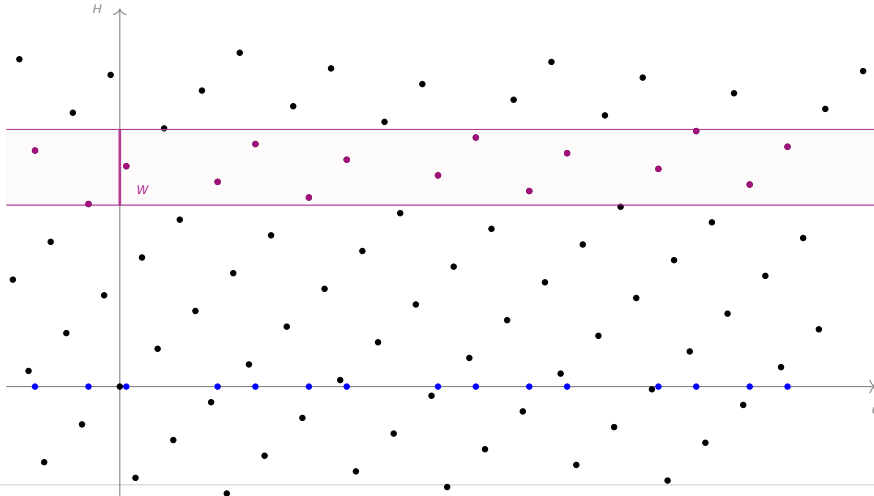
Motivation - Complexity



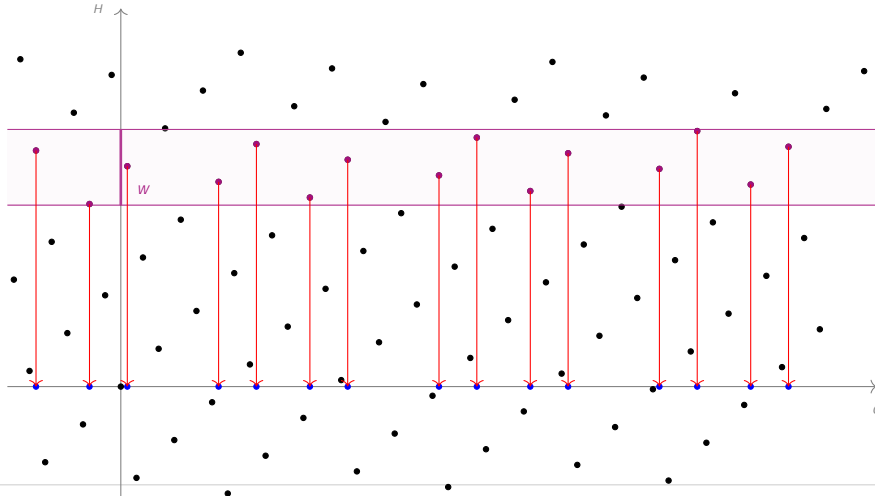
Motivation - Complexity



Model sets - Example 1

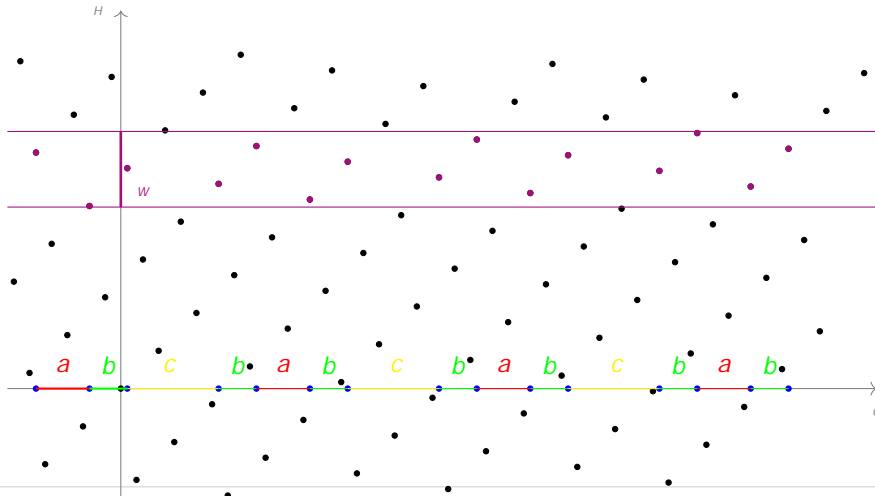


Model sets - Example 1



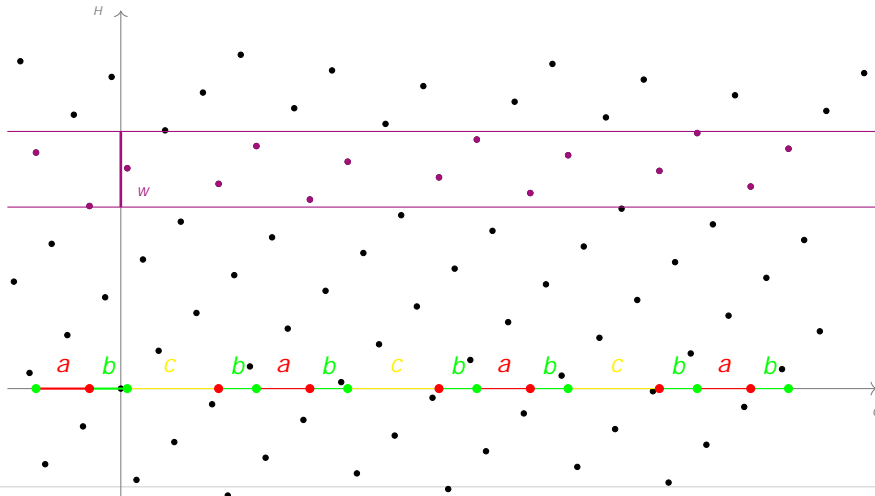
Model sets - Example 1

$$a = \frac{\rho_-}{2}; b = 1; c = 1 + \frac{\rho_-}{2};$$



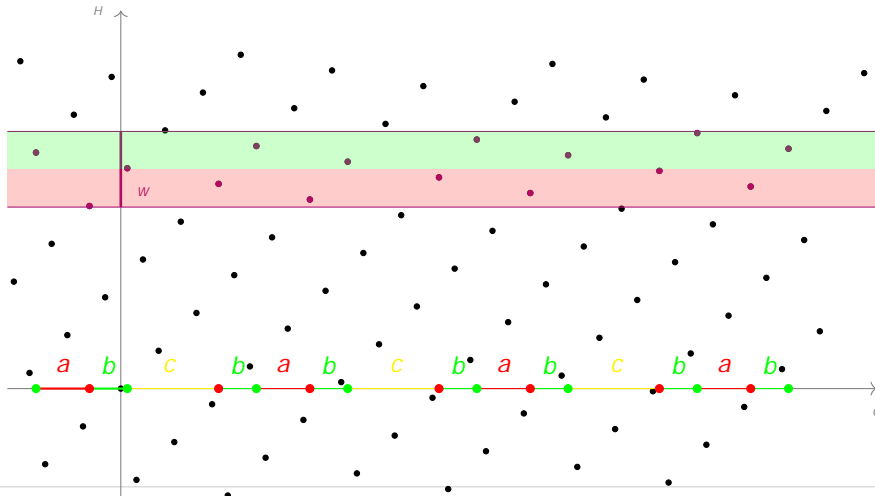
Model sets - Example 1

$$a = \frac{\rho_-}{2}; b = 1; c = 1 + \frac{\rho_-}{2}; r = 1:2;$$



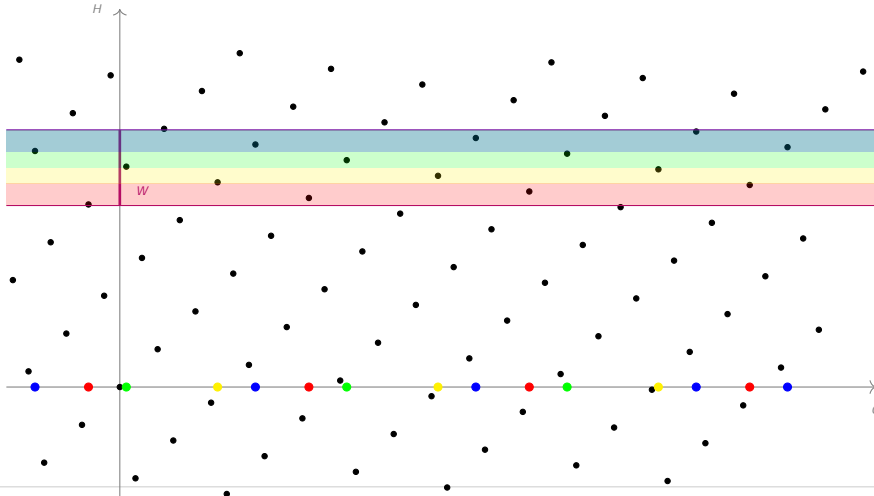
Model sets - Example 1

$$a = \frac{\rho_-}{2}; b = 1; c = 1 + \frac{\rho_-}{2}; r = 1:2;$$



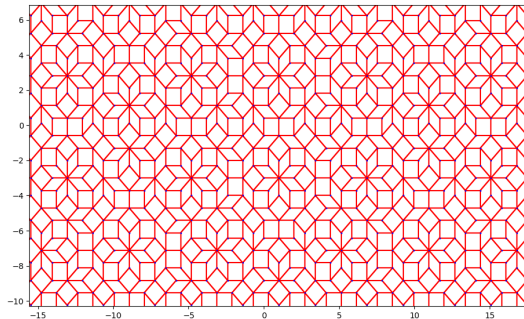
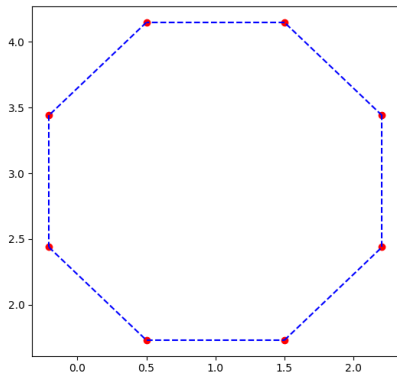
Model sets - Example 1

$r=1.5;$



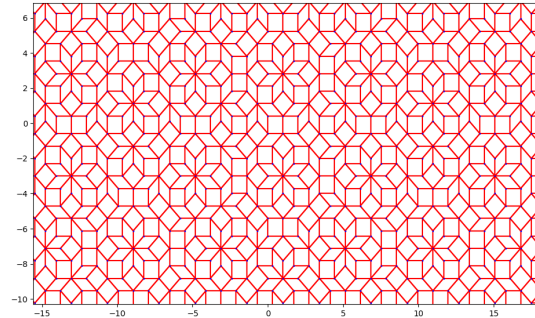
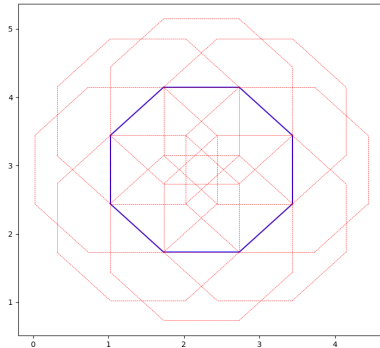
Model sets - Example 2 (Ammann-Beenker)

Consider $(\mathbb{R}^2; \mathbb{R}^2; ; W)$;



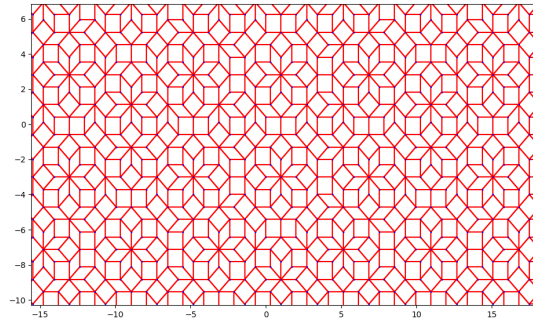
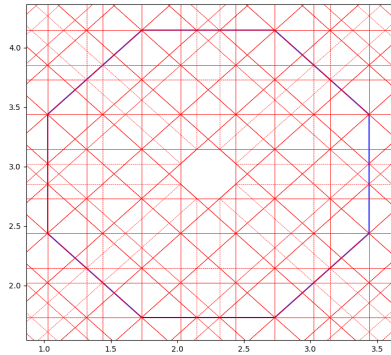
Model sets - Example 2 (Ammann-Beenker)

Consider $(\mathbb{R}^2; \mathbb{R}^2; ; W); r=1$



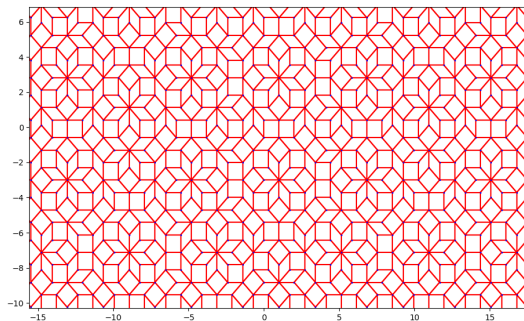
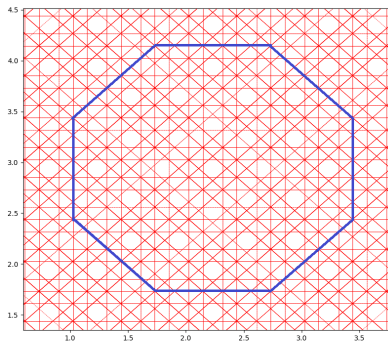
Model sets - Example 2 (Ammann-Beenker)

Consider $(\mathbb{R}^2; \mathbb{R}^2; ; W); r=3$



Model sets - Example 2 (Ammann-Beenker)

Consider $(\mathbb{R}^2; \mathbb{R}^2; ; W); r=5$



Correspondence

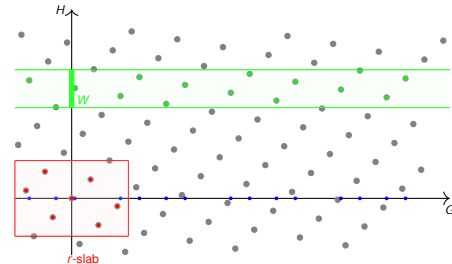
Definition

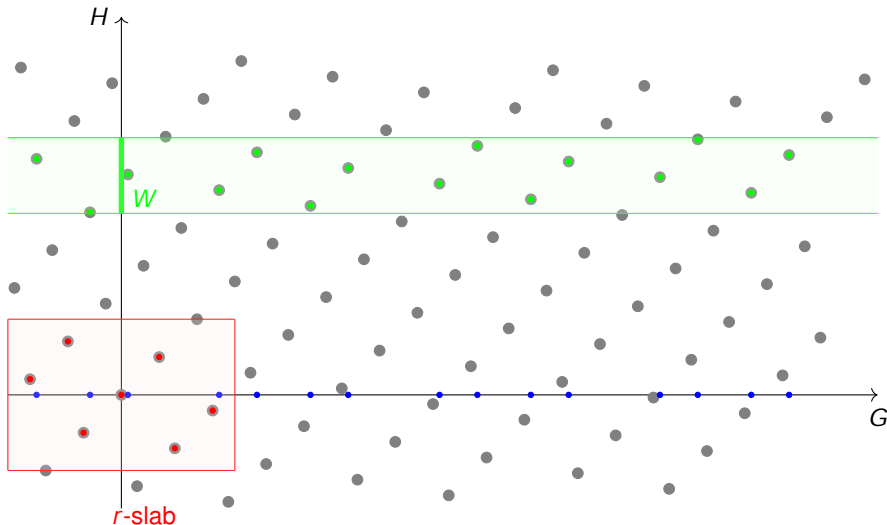
r -acceptance domain of

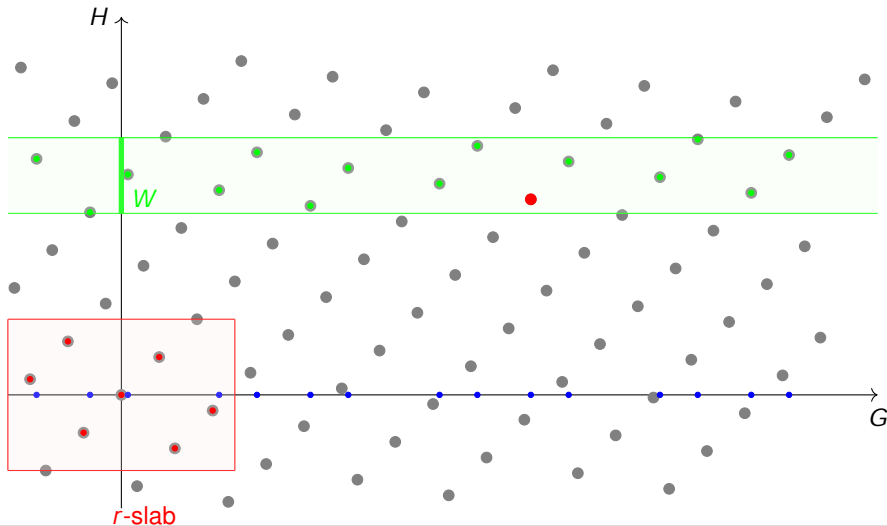
$$W_r(\cdot) := \bigcap_{\substack{O \\ 2S_r(\cdot)}} \bigcap_{\substack{1 \ O \\ WA \setminus @}} \bigcap_{\substack{1 \\ {}^0 2S_r^C(\cdot)}} {}^0 W^C A$$

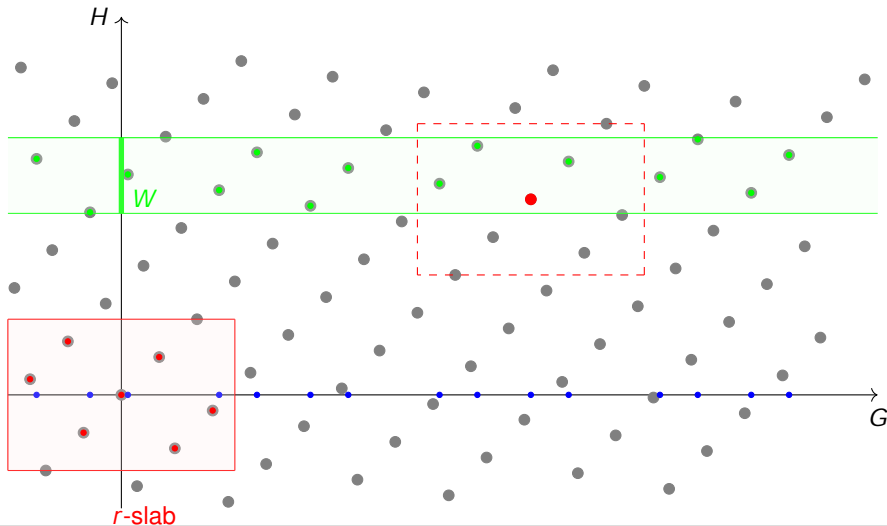
Shifts

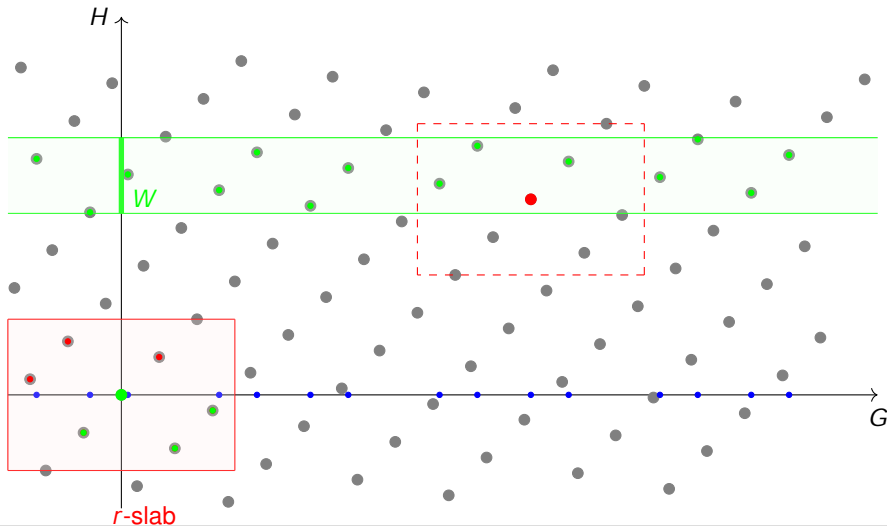
$S_r := \{ H(\cdot) \mid \exists j \ j < r \text{ and } \exists W W^{-1} \};$
 r -slab; all the possible neighbors with distance at most r (in G -direction).











Julien-Koivusalo-Walton Approach

Theorem (Koivusalo, Walton 2021)

Let $(\mathbb{R}^{d_1}; \mathbb{R}^{d_2}; ; W)$ be a polytopal model set.

Julien-Koivusalo-Walton Approach

Theorem (Koivusalo, Walton 2021)

Let $(\mathbb{R}^{d_1}; \mathbb{R}^{d_2}; ; W)$ be a polytopal model set. Then $p(r) \sim r^d$,

Julien-Koivusalo-Walton Approach

Theorem (Koivusalo, Walton 2021)

Let $(R^{d_1}; R^{d_2}; ; W)$ be a polytopal model set. Then $p(r) = r$, where

$$:= \max_{jFj=d_2} \theta; \quad \text{for} \quad \theta := \bigtimes_{H \in F} (d_1 \quad s(H)):$$

$s(H)$ depends on the stabilizer of H in H

Julien-Koivusalo-Walton Approach

Theorem (Koivusalo, Walton 2021)

Let $(\mathbb{R}^{d_1}; \mathbb{R}^{d_2}; \cdot; W)$ be a polytopal model set. Then $\rho(r) = r$, where

$$\rho := \max_{j \in F, |j|=d_2} \rho_j; \quad \text{for} \quad \rho_j := \sum_{H \in F} (d_1 - s(H))$$

$s(H)$ depends on the stabilizer of H in H

Idea: Big stabilizers give low complexity.

Julien-Koivusalo-Walton Approach

Theorem (Koivusalo, Walton 2021)

Let $(\mathbb{R}^{d_1}; \mathbb{R}^{d_2}; \cdot; W)$ be a polytopal model set. Then $p(r) = r$, where

$$:= \max_{j \in F, j=d_2} \theta_j; \quad \text{for} \quad \theta := \bigtimes_{H \in F} (d_1 \cdot s(H)):$$

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Idea: Big stabilizers give low complexity. Edge cases:

- 1 'Maximal' stabilizers: $p(r) = r^{d_1}$,

Julien-Koivusalo-Walton Approach

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- 2 Generic case: No stabilizers, $p(r) = r^{d_1 + d_2}$.

Julien-Koivusalo-Walton Approach

Theorem (Koivusalo, Walton 2021)

Let $(R^{d_1}; R^{d_2}; \cdot; W)$ be a polytopal model set. Then $p(r) = r^{\theta}$, where

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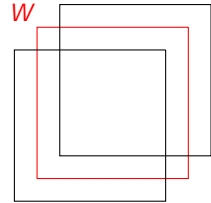
$s(H)$ depends on the stabilizer of H in H

Idea: Big stabilizers give low complexity. Edge cases:

- ① 'Maximal' stabilizers: $p(r) = r^{d_1}$,
- ② Generic case: No stabilizers, $p(r) = r^{d_1 + d_2}$.

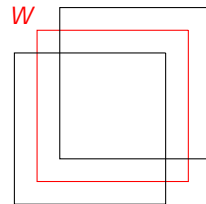
We do not consider the treatment of the stabilizers.

Julien-Koivusalo-Walton Approach - Upper bound



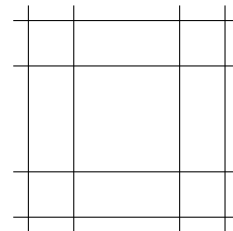
Julien-Koivusalo-Walton Approach - Upper bound

$$\bullet p(r) \leq \frac{1}{|W|} \sum_{S \in \mathcal{S}_r} |S \cap W|$$



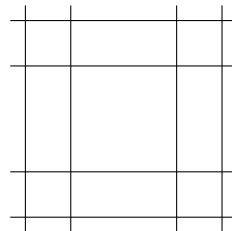
Julien-Koivusalo-Walton Approach - Upper bound

- 1 $p(r) = \sum_{W \in \mathcal{S}_r} |W|^{-1}$
- 2 Extend the edges of the polytopes to full hyperplanes and consider \mathbb{R}^{d_2} instead of W .



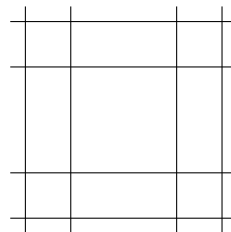
Julien-Koivusalo-Walton Approach - Upper bound

- 1 $p(r) = \sum_{i=0}^r \binom{n}{i} \sum_{S_r} \text{vol}(W_S)$
- 2 Extend the edges of the polytopes to full hyperplanes and consider \mathbb{R}^{d_2} instead of W .
- 3 $N = \sum_{j=1}^n |S_r^j|$ hyperplanes; Zaslavsky(hyperplane arrangements)) maximal $\sum_{i=0}^{d_2} \sum_{j=1}^n \binom{d_2}{i} \text{vol}(W_{S_r^j})$ connected components



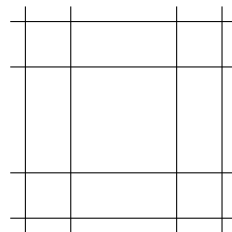
Julien-Koivusalo-Walton Approach - Upper bound

- 1 $p(r) = \sum_{i=0}^d \binom{n}{i} 2^{S_r} \cdot |W|$
- 2 Extend the edges of the polytopes to full hyperplanes and consider \mathbb{R}^{d_2} instead of W .
- 3 $N = \sum_{j \in S_r} j$ hyperplanes; Zaslavsky (hyperplane arrangements)) maximal $\sum_{i=0}^{d_2} \binom{N}{i}$ connected components
- 4 $p(r) = \sum_{i=0}^{d_2} \binom{N}{i} \sum_{j \in S_r} j^{d_2}$



Julien-Koivusalo-Walton Approach - Upper bound

- 1 $p(r) = \frac{1}{|W|} \sum_{S_r \in \mathcal{W}} \mathbb{1}_{S_r} @W$
- 2 Extend the edges of the polytopes to full hyperplanes and consider \mathbb{R}^{d_2} instead of W .
- 3 N hyperplanes; Zaslavsky(hyperplane arrangements) \rightarrow maximal $\sum_{i=0}^{d_2} \chi_i$ connected components
- 4 $p(r) = \frac{1}{|W|} \sum_{i=0}^{d_2} \chi_i \sum_{S_r \in \mathcal{W}} \mathbb{1}_{S_r}^{d_2}$
- 5 $\sum_{S_r \in \mathcal{W}} \mathbb{1}_{S_r}^{d_2}$ ($B_r^{\mathbb{R}^{d_2}}$) (point-wise ergodic theorem)



Julien-Koivusalo-Walton Approach - Lower bound

$$\bullet \quad 0 \quad W n^T \quad 2S_r \quad @W \quad p(r)$$

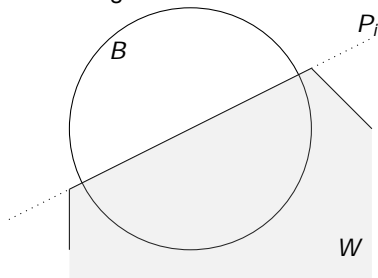
Julien-Koivusalo-Walton Approach - Lower bound

$$\textcircled{1} \quad \int_0^1 \int_{S_r} p(r) \, d\mu$$

- $\textcircled{2}$ Consider a subset of S_r and a small region B inside the window such that the faces of the window nicely cut the region.

Julien-Koivusalo-Walton Approach - Lower bound

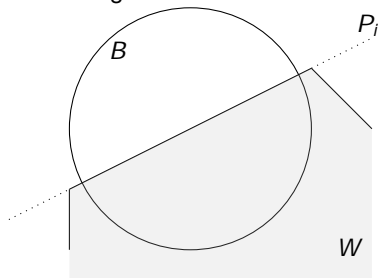
- 1 $0 \leq W \cap n^T \leq 2S_r \leq W \leq p(r)$
- 2 Consider a subset of S_r and a small region B inside the window such that the faces of the window nicely cut the region.



Julien-Koivusalo-Walton Approach - Lower bound

$$1 \quad \int_0^1 W \cdot n^T \cdot p(r) \, dr$$

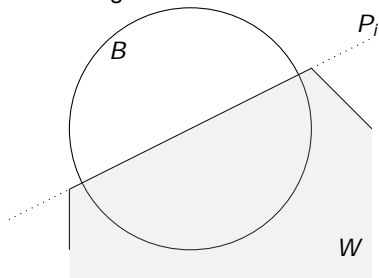
- 2 Consider a subset of S_r and a small region B inside the window such that the faces of the window nicely cut the region.



- 3 Consider even a smaller subset $U_r \subset S_r$ such that all the faces that cut B nicely intersect inside B .

Julien-Koivusalo-Walton Approach - Lower bound

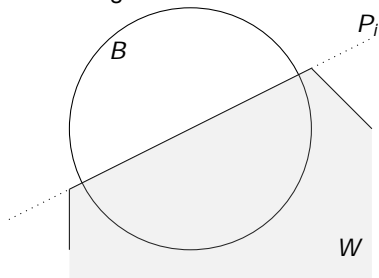
- 1 $0 \leq W \leq \infty$ \mathbb{T} $2S_r$ $@W$ $p(r)$
- 2 Consider a subset of S_r and a small region B inside the window such that the faces of the window nicely cut the region.



- 3 Consider even a smaller subset $U_r \subset S_r$ such that all the faces that cut B nicely intersect inside B .
- 4 Consider only d_2 many families of parallel hyperplanes. They decompose B into $j U_r^{d_2}$ parts.

Julien-Koivusalo-Walton Approach - Lower bound

- 1 $0 \leq W \leq \infty$ \mathbb{R}^d \mathcal{S}_r \mathcal{W} $p(r)$
- 2 Consider a subset of \mathcal{S}_r and a small region B inside the window such that the faces of the window nicely cut the region.



- 3 Consider even a smaller subset $\mathcal{U}_r \subseteq \mathcal{S}_r$ such that all the faces that cut B nicely intersect inside B .
- 4 Consider only d_2 many families of parallel hyperplanes. They decompose B into $j \mathcal{U}_r j^{d_2}$ parts.
- 5 $j \mathcal{U}_r j \ll j \mathcal{S}_r j$

What was used in the proof

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- 1 Point-wise Ergodic theorem for jS_rj ,

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- 3 Shifts of hyperplanes stay hyperplanes

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- 3 Shifts of hyperplanes stay hyperplanes
- 4 Shifts of hyperplanes stay parallel

What was used in the proof

- 1 Point-wise Ergodic theorem for jS_rj ,
- 2 Polytopal window
- 3 Shifts of hyperplanes stay hyperplanes
- 4 Shifts of hyperplanes stay parallel
- 5 Combinatorics about hyperplane arrangements

Extension Example 1

Heisenberg Group $Heis, (\mathbb{R}^3; \cdot)$

$$\begin{pmatrix} 1 & 0 & 0 \\ x_1 & 1 & 0 \\ x_2 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} 1 & 0 & 0 \\ y_1 & 1 & 0 \\ y_2 & 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ x_1 + y_1 & 1 & 0 \\ x_2 + y_2 & 0 & 1 \end{pmatrix}$$

$$d\left(\begin{pmatrix} 1 & 0 & 0 \\ x_1 & 1 & 0 \\ x_2 & 0 & 1 \end{pmatrix}; \begin{pmatrix} 1 & 0 & 0 \\ y_1 & 1 & 0 \\ y_2 & 0 & 1 \end{pmatrix}\right) = \max\left\{ |x_1 - y_1|, |x_2 - y_2|, |x_3 - y_3 + x_1 y_2 - x_2 y_1| \right\}$$

Extension Example 1

Heisenberg Group $Heis, (\mathbb{R}^3; \cdot)$

$$\begin{array}{ccccccc}
 \circ & 1 & \circ & 1 & \circ & & 1 \\
 x_1 & & y_1 & & & x_1 + y_1 & \\
 @_{x_2} A & @_{y_2} A & = & @ & & x_2 + y_2 & A \\
 x_3 & & y_3 & & x_3 + y_3 + x_1 y_2 & x_2 y_1 &
 \end{array}$$

$$d(@_{x_2} A ; @_{y_2} A) = \max \left\{ \begin{array}{l} |x_1 - y_1| \\ |x_2 - y_2| \\ |x_3 - y_3 - x_1 y_2 + x_2 y_1| \end{array} \right\}$$

- 1 Point-wise Ergodic theorem for $j S_r j$
- 2 Polytopal window
- 3 Shifts of hyperplanes stay hyperplanes
- 4 Shifts of hyperplanes stay parallel
- 5 Combinatorics about hyperplane arrangements

Extension Example 1

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 @_{x_2} A & @_{y_2} A & = & @ & & x_2 + y_2 & A \\
 x_3 & & y_3 & & x_3 + y_3 + x_1 y_2 & & x_2 y_1
 \end{array}$$

$$d(@_{x_2} A ; @_{y_2} A) = \max \left\{ \begin{array}{l} |x_1 - y_1| \\ |x_2 - y_2| \\ |x_3 - y_3 - x_1 y_2 + x_2 y_1| \end{array} \right\}$$

① Point-wise Ergodic theorem (Lindenstrauss)

- ① Point-wise Ergodic theorem for $j S_r j$
- ② Polytopal window
- ③ Shifts of hyperplanes stay hyperplanes
- ④ Shifts of hyperplanes stay parallel
- ⑤ Combinatorics about hyperplane arrangements

Extension Example 1

Heisenberg Group $Heis, (\mathbb{R}^3; \cdot)$

$$\begin{pmatrix} 1 & 0 & 0 \\ x_1 & 1 & 0 \\ x_2 & y_1 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ y_1 & 1 & 0 \\ y_2 & y_3 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ x_1 + y_1 & 1 & 0 \\ x_2 + y_2 & x_2 y_1 & 1 \end{pmatrix} A$$

$$d(\begin{pmatrix} 1 & 0 & 0 \\ x_1 & 1 & 0 \\ x_2 & y_1 & 1 \end{pmatrix} ; \begin{pmatrix} 1 & 0 & 0 \\ y_1 & 1 & 0 \\ y_2 & y_3 & 1 \end{pmatrix}) = \max \{ |x_1 - y_1|, |x_2 - y_2|, |x_3 - y_3|, |x_1 y_2 - x_2 y_1| \}$$

- 1 Point-wise Ergodic theorem for $j S_r j$
- 2 Polytopal window
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- 1 Point-wise Ergodic theorem (Lindenstrauss)
- 2 Polytopes = Polytopes in \mathbb{R}^3

Extension Example 1

Heisenberg Group $Heis, (\mathbb{R}^3; \cdot)$

$$\begin{pmatrix} 1 & 0 & 0 \\ x_1 & 1 & 0 \\ x_2 & y_1 & 1 \\ x_3 & y_2 & x_1 y_1 + x_2 y_2 \end{pmatrix} \cdot \begin{pmatrix} 1 & 0 & 0 \\ y_1 & 1 & 0 \\ y_2 & y_3 & 1 \\ x_1 y_2 + x_2 y_1 & y_3 & x_3 + y_3 + x_1 y_2 \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ x_1 + y_1 & 1 & 0 \\ x_2 + y_2 & y_3 & 1 \\ x_3 + y_3 + x_1 y_2 & x_2 y_1 & x_3 + y_3 + x_1 y_2 \end{pmatrix}$$

$$d(\begin{pmatrix} 1 & 0 & 0 \\ x_1 & 1 & 0 \\ x_2 & y_1 & 1 \\ x_3 & y_2 & x_1 y_1 + x_2 y_2 \end{pmatrix}; \begin{pmatrix} 1 & 0 & 0 \\ y_1 & 1 & 0 \\ y_2 & y_3 & 1 \\ x_1 y_2 + x_2 y_1 & y_3 & x_3 + y_3 + x_1 y_2 \end{pmatrix}) = \max\{ |x_1 - y_1|, |x_2 - y_2|, |x_3 - y_3|, |x_1 y_2 - x_2 y_1| \}$$

- 1 Point-wise Ergodic theorem for $jS_r j$
- 2 Polytopal window
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- 5 Combinatorics about hyperplane arrangements

- 1 Point-wise Ergodic theorem (Lindenstrauss)
- 2 Polytopes = Polytopes in \mathbb{R}^3
- 3 is linear so hyperplanes stay hyperplanes

Extension Example 1

Heisenberg Group $Heis, (\mathbb{R}^3; \cdot)$

$$\begin{pmatrix} 1 & & & & \\ & 1 & & & \\ & & 1 & & \\ & & & 1 & \\ & & & & 1 \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \\ x_3 \\ y_1 \\ y_2 \\ y_3 \end{pmatrix} = \begin{pmatrix} x_1 + y_1 \\ x_2 + y_2 \\ x_3 + y_3 + x_1 y_2 \\ x_2 y_1 \end{pmatrix}$$

$$d(\begin{pmatrix} 1 & & & & \\ & 1 & & & \\ & & 1 & & \\ & & & 1 & \\ & & & & 1 \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \\ x_3 \\ y_1 \\ y_2 \\ y_3 \end{pmatrix} ; \begin{pmatrix} 1 & & & & \\ & 1 & & & \\ & & 1 & & \\ & & & 1 & \\ & & & & 1 \end{pmatrix} \begin{pmatrix} y_1 \\ y_2 \\ y_3 \\ x_1 y_2 + x_2 y_1 \\ y_3 \end{pmatrix}) = \max_{j \in \{1, 2, 3\}} |f_j(x_1, y_1) - f_j(x_2, y_2)|$$

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$$d(\begin{pmatrix} 1 & & & & & \\ & 1 & & & & \\ & & 1 & & & \\ & & & 1 & & \\ & & & & 1 & \\ & & & & & 1 \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix} ; \begin{pmatrix} 1 & & & & & \\ & 1 & & & & \\ & & 1 & & & \\ & & & 1 & & \\ & & & & 1 & \\ & & & & & 1 \end{pmatrix} \begin{pmatrix} y_1 \\ y_2 \\ y_3 \end{pmatrix}) = \max_{j \in \{1, 2, 3\}} \frac{|f_j(x_1, x_2, x_3) - g_j(y_1, y_2, y_3)|}{|j x_3 \ y_3 \ x_1 y_2 + x_2 y_1|}$$

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- 5 Solution: Need better combinatorics

Toppling Hyperplanes

Theorem of Beck (1983)

Let H be a hyperplane arrangement in \mathbb{R}^d , $|H| = n$, and $B \subset \mathbb{R}^d$ convex,

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- $\exists (f_1; \dots; f_d) \in A_1 \cap \dots \cap A_d : B \setminus \bigcap_{i=1}^d f_i = \emptyset$,
- $\exists i \in [d] : \exists f \in A_i : B \setminus f \neq \emptyset$,

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- $\exists i \in [d] : \exists f \in A_i : B \setminus f \cap \bigcap_{j \neq i} A_j \neq \emptyset$,

then $|B \setminus \bigcap_{i=1}^d f_i| \geq |B| - \sum_{i=1}^d |B \setminus f_i| + \rho_c n^d$

Julien-Koivusalo-Walton Approach Extended 1

Theorem (K. 2022)

Let $(Heis; Heis; ; W)$ be a polytopal model set. And let the stabilizers of the hyperplanes that bound the window be trivial in H , then

$$p(r) \sim r^{4-3} \sum_{Heis} (B_r)^{\dim(Heis)}$$

Extension Example 2

Isometry group of H^2 , $x_0 \in H^2$ basepoint, $d(g; h) = \sup_{x \in H^2} d_H(g^{-1}x; h^{-1}x) e^{-d_H(x_0; x)}$

Figure:

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 - ② Idea: Lifts of Polytopes in \mathbb{H}^2

Lifted windows

Isometry group of H^2 , $x_0 \in H^2$ basepoint, $d(g, h) = \sup_{x \in H^2} |d_H(g^{-1}x, h^{-1}x) - d_H(x_0, x)|$

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Hyperplanes and half-spaces

Hyperplanes H are Euclidean hyperplanes intersected with D^2 ; associated half-spaces H^+ , H^-

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A **polytope** P in $\text{Isom}(\mathbb{H}^2)$ is $f g \in \text{Isom}(\mathbb{H}^2) \mid g \cdot x_0 \in \mathcal{P} g$, where \mathcal{P} is a polytope in \mathbb{H}^2 .

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Lemma (Acceptance domains in lifted windows)

$\{\text{acceptance domains in } W\} = \bigcap_{r \in \mathbb{Z}} S_r \setminus \bigcup_{r \in \mathbb{Z}} S_r^c$

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Julien-Koivusalo-Walton Approach Extended 2

Theorem (K. 2022)

Let $(\text{Isom}(\mathbb{H}^2); \text{Isom}(\mathbb{H}^2); \cdot; W)$ be a polytopal model set. And let the stabilizers of the hyperplanes that bound the window be trivial in \mathbb{H} , then

$$p(r) \sim e^{2r} \cdot |\text{Isom}(\mathbb{H}^2)(B_r)|^2$$

Julien-Koivusalo-Walton Approach Extended 3

Theorem (K. 2022)

Let $(G; H; \cdot; W)$ be a polytopal model set, G, H be two-step nilpotent Lie Groups. And let the stabilizers of the hyperplanes that bound the window be trivial in H , then

$$p(r) = |G(B_r)^{\dim(H)}|$$

Theorem (K. 2022)

Let $(\text{Isom}(H^{d_1}); \text{Isom}(H^{d_2}); \cdot; W)$ be a polytopal model set. And let the stabilizers of the hyperplanes that bound the window be trivial in H , then

$$p(r) = |\text{Isom}(H^{d_1})(B_r)^{d_2}|$$

Thank you for your attention.