

## Exercise 1)

a) proof by contradiction, assume  $\dim \mathcal{H} = n < \infty$

$\dim \mathcal{H} < \infty \Rightarrow P, Q$  bounded;  $\mathcal{D}(P) = \mathcal{D}(Q) = \mathcal{H}$ ;  $P, Q$  are matrices

$$P(Q - QP) = \frac{1}{i} I$$

$$\operatorname{tr} PQ - \operatorname{tr} QP = \frac{1}{i} \operatorname{tr} I = \frac{n}{i} \quad (*)$$

$$\operatorname{tr} PQ = \sum_{j=1}^n \langle \varphi_j, PQ \varphi_j \rangle = \sum_{i,k} \langle \varphi_j, P \varphi_k \rangle \langle \varphi_k, Q \varphi_j \rangle = \operatorname{tr} QP$$

$$(*) \Rightarrow 0 = \frac{n}{i} \quad \text{contradiction}$$

b) proof by contradiction, assume  $\exists f \in \mathcal{H} \quad Pf = \lambda f \quad \lambda \in \mathbb{R}, \|f\| \neq 0$

$$\langle Pf, Qf \rangle - \langle Qf, Pf \rangle = \frac{1}{i} \|f\|^2$$

$$\lambda \langle f, Qf \rangle - \lambda \langle Qf, f \rangle = \frac{1}{i} \|f\|^2$$

$$0 = \frac{1}{i} \|f\|^2 \quad \text{contradiction}$$

for  $Q$  analogously

c) proof by contradiction, assume  $P$  bounded

[BEH08] [Proposition 5.4.1]

↓

$\lambda \in \sigma(Q) \subset \mathbb{R}$  from: Thm: Spectrum of s.a. operator is non-empty  
 $\exists (\varphi_n)_{n \in \mathbb{N}} \subset \mathcal{D}(Q) \quad \|\varphi_n\| = 1, \|(Q - \lambda)\varphi_n\| \rightarrow 0$  from: the Weyl criterion

[BEH08] [Corollary 4.3.5]

$$\frac{1}{i} \|\varphi_n\|^2 = \langle P\varphi_n, Q\varphi_n \rangle - \langle Q\varphi_n, P\varphi_n \rangle$$

$$1 = |\langle P\varphi_n, Q\varphi_n \rangle - \langle Q\varphi_n, P\varphi_n \rangle|$$

$$1 = |\langle P\varphi_n, (Q - \lambda)\varphi_n \rangle - \langle Q\varphi_n, (Q - \lambda)\varphi_n, P\varphi_n \rangle|$$

$$1 \leq 2 \|P\varphi_n\| \|(Q - \lambda)\varphi_n\|$$

$$1 \leq 2 \|P\| \|(Q - \lambda)\varphi_n\| \xrightarrow{n \rightarrow \infty} 0$$

## Exercise 2)

b) Def: Regularity domain  $\pi(T) \cdot T \in C(X)$ .  $\pi(T) = \{\lambda \in \mathbb{C} \mid \forall x \in \mathcal{D}_T \exists c(x) > 0: \|(\lambda - T)x\| \geq c_\lambda \|x\|\}$

we show that  $\mathbb{C}^\pm$  is irregularity domain of  $A$   
 $\lambda \in (\mathbb{C} \setminus \mathbb{R}) \Leftrightarrow \lambda = \nu + i\mu; \nu, \mu \in \mathbb{R}, \mu \neq 0$

$\|(A-\lambda)\psi\| \geq |\mu|^2 \|\psi\|^2$  due to the fact that  $\sigma(A) \subset \mathbb{R}$

$$\Pi(A) \supset \mathbb{C}^+ \cup \mathbb{C}^-$$

proof is completed by Thm.  $\therefore$  map  $\lambda \mapsto \dim \ker(T-\lambda) = \dim \ker(T^*-\bar{\lambda})$  is constant on any connected component of regularity domain  
[BEH08] [Theorem 4.7.1]

a) we show that a) is implied by b) for  $d_{\pm} = 0$

$$\text{Ran}(A-\lambda) = \mathcal{R} \Leftrightarrow \{0\} = \text{Ran}(A-\lambda)^\perp = \ker(A^*-\bar{\lambda}) \Rightarrow$$

$\dim(\ker(A^*-\bar{\lambda})) = 0$  and using symmetry of  $A$  and previous theorem gives us desired result

Exercise 3)

$$a) \mathcal{D}(AB) = \{\psi \in \mathcal{X} \mid B\psi \in \mathcal{D}(A)\}$$

$$\tau_n \rightarrow \tau \quad \tau_n \in \mathcal{D}(AB)$$

$$\|\tau_n - \tau\| < \varepsilon \Rightarrow \|B\tau_n - B\tau\| < \varepsilon \|B\| \Rightarrow B\tau_n \text{ Cauchy in } \mathcal{D}(A) \text{ closed} \Rightarrow B\tau \in \mathcal{D}(AB)$$

from def. of  $A$  is closed  $A(B\tau_n) \rightarrow \psi \Rightarrow A(B\tau) = \psi$  we rewrite it as  $(AB)\tau_n \rightarrow \psi \Rightarrow (AB)\tau = \psi$

$$b) \mathcal{D}(BA) = \mathcal{D}(A) \Rightarrow \varphi_n \rightarrow \varphi \Rightarrow \varphi \in \mathcal{D}(BA)$$

$$\text{we have } A\varphi_n \rightarrow \psi \Rightarrow BA\varphi_n \rightarrow B\psi = \tau$$

$$\|BA\varphi_n - \tau\| < \varepsilon \Rightarrow \|A\varphi_n - B^{-1}\tau\| < \varepsilon \|B^{-1}\| \Rightarrow A\varphi = \psi \text{ and } BA\varphi = \tau$$

Exercise 4) closedness:

$\psi_n \rightarrow \psi$  in  $L^2$  implies that we can choose convergent subsequence which converge pointwise  $\mu$ -almost everywhere

$$\psi_{n_k}(x) \rightarrow \psi(x) \text{ } \mu\text{-a.e.}$$

$$\begin{aligned} \int \psi_{n_k}(x) \psi_n(x) \rightarrow \int \psi(x) \psi(x) \text{ } \mu\text{-a.e.} \\ (\int \psi_{n_k}) \rightarrow \int \psi(x) \text{ } \mu\text{-a.e.} \end{aligned} \implies \int \psi = \phi \text{ } \mu\text{-a.e.}$$

$$\|M\psi\| \leq \underbrace{\|M\psi_{n_k}\|}_{< \infty} + \underbrace{\|M\psi_{n_k} - M\psi\|}_{< \varepsilon} \Rightarrow \psi \in \mathcal{D}(M_V)$$

spectrum:

$$\text{inverse of } M_V: [(M_V - \lambda)^{-1} \psi] = \left( \frac{1}{V - \lambda} \psi \right)(x)$$

$$\lambda \notin \text{essran } V \Leftrightarrow \exists \varepsilon > 0 : \mu\text{-a.e. } x \in \mathbb{R}^d \quad |V(x) - \lambda| \geq \varepsilon$$

$$\Rightarrow (\lambda \notin \text{essran } V \Rightarrow \frac{1}{V - \lambda} \text{ is bounded} \Rightarrow \lambda \in \rho(M_V))$$

$$\Rightarrow \sigma(M_V) \subset \text{essran } V$$

$$(M_V - \lambda)^{-1} \text{ is bounded} \Leftrightarrow \text{esssup}_{x \in \mathbb{R}^d} \left| \frac{1}{V(x) - \lambda} \right| < \infty$$

opposite inclusion:

$$\lambda \in \text{essran } V \Rightarrow \forall \varepsilon > 0 \quad \mu(\{x \mid |V(x) - \lambda| < \varepsilon\}) > 0$$

$$\mu\left(\left\{x \mid \frac{1}{\varepsilon} < \frac{1}{|V(x) - \lambda|}\right\}\right) > 0$$

$$\Rightarrow \text{esssup}_{x \in \mathbb{R}^d} \frac{1}{|V(x) - \lambda|} = +\infty \quad \cancel{M_V} (M_V - \lambda)^{-1} \text{ is not bounded}$$

Exercise 2) alternative full proof of b)

$$\lambda = \nu + i\mu, \mu \neq 0, \nu, \mu \in \mathbb{R}$$

$$\text{A symmetric} \Rightarrow \|(A - \lambda)\psi\|^2 \geq \mu^2 \|\psi\|^2 \quad \forall \psi \in \mathcal{D}(A) \subset \mathcal{H} \quad (1)$$

A closed  $\Rightarrow$   $\text{Ran}(\lambda - A)$  is closed subspace of  $\mathcal{H}$

$$\text{Ker}(\lambda - A^*) = \text{Ran}(\bar{\lambda} - A)^{\perp} \quad (2)$$

we want to show that  $\dim \text{Ker}(\lambda - A^*)$  is locally constant in  $\mathbb{C}^{\pm}$

$$\eta \text{ small } \eta \in \mathbb{C} \quad \dim \text{Ker}((\lambda + \eta) - A^*) \stackrel{?}{=} \dim \text{Ker}(\lambda - A^*)$$

$$\psi \in \mathcal{D}(A^*), \|\psi\|=1, \psi \in \text{Ker}((\lambda + \eta) - A^*)$$

we suppose that  $(\mu, \nu) = 0$   $\psi \in \text{Ker}(\lambda - A^*)$  and show contradiction

$$\text{using (2)} \Rightarrow \psi \in \text{Ran}(\bar{\lambda} - A) \Rightarrow \varphi \in \mathcal{D}(A) \quad (\bar{\lambda} - A)\varphi = \psi$$

$$0 = ((\lambda + \eta) - A^*)\psi, \varphi) = (\psi, (\bar{\lambda} - A)\varphi) + \bar{\eta}(\mu, \varphi) = \|\psi\|^2 + \bar{\eta}(\mu, \varphi)$$

$\uparrow$   $\psi \in \text{Ker}((\lambda + \eta) - A^*)$

This is a contradiction for  $|\eta| < |\mu|$  because (1) implies  $\|\psi\| \geq |\mu| \|\varphi\|$

We can conclude that for  $|\eta| < |\mu|$  there is no  $\psi \in \text{Ker}((\lambda + \eta) - A^*)$  which is in  $\text{Ker}(\lambda - A^*)^{\perp}$ . This implies that  $\dim \text{Ker}((\lambda + \eta) - A^*) \leq \dim \text{Ker}(\lambda - A^*)$

We can use the same argumentation for  $|\eta| < \frac{|\mu|}{2}$  to show that

$$\dim \text{Ker}(\lambda - A^*) \leq \dim \text{Ker}((\lambda + \eta) - A^*)$$

$$\text{Put together we have } \dim \text{Ker}(\lambda - A^*) \stackrel{!}{=} \dim \text{Ker}((\lambda + \eta) - A^*) \text{ for } |\eta| < \frac{|\mu|}{2}$$

By this we proved that  $\dim \text{Ker}(\lambda - A^*)$  is locally constant. Because we had no special conditions on  $\lambda$  we can conclude that the dimension is constant on upper half-plane of  $\mathbb{C}$  and on lower half-plane of  $\mathbb{C}$ . We note that these dimensions might not be equal.