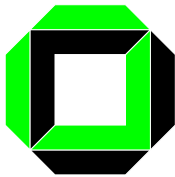


Optimality of the Fully Discrete Filtered Backprojection Algorithm in 2D

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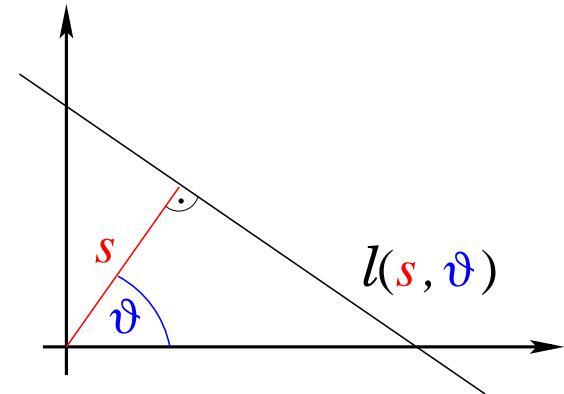
and

Institut für Angewandte und Numerische Mathematik



2D-Radon-Transform (parallel scanning geometry)

$$\mathbf{R}f(s, \vartheta) := \int_{l(s, \vartheta) \cap \Omega} f(x) \, d\sigma(x)$$



tomographic inversion: $\mathbf{R}f(s, \vartheta) = g(s, \vartheta)$

$$\mathbf{R}: L^2(\Omega) \rightarrow L^2(Z), \quad Z = [-1, 1] \times [0, 2\pi]$$

Inversion formula

$$f = \frac{1}{4\pi} \mathbf{R}^* (\Lambda \otimes I) \mathbf{R} f$$

$\mathbf{R}^* : L^2(Z) \rightarrow L^2(\Omega)$ Backprojection operator

$$\mathbf{R}^* g(x) = \int_0^{2\pi} g(x^t \omega(\vartheta), \vartheta) d\vartheta, \quad \omega(\vartheta) = (\cos \vartheta, \sin \vartheta)^t$$

$\Lambda : H^\alpha(\mathbb{R}) \rightarrow H^{\alpha-1}(\mathbb{R})$ Riesz potential

$$\widehat{\Lambda u}(\xi) = |\xi| \widehat{u}(\xi).$$

Filtered backprojection algorithm (FBA)

discrete Radon data $D = \{\mathbf{R}f(kh, jh_{\vartheta}) : k = -q, \dots, q, j = 0, \dots, 2p - 1\}$,
 $h = 1/q, \quad h_{\vartheta} = \pi/p$

$$f_{\text{FBA}}(x) := \frac{1}{4\pi} \mathbf{R}_{h_{\vartheta}}^* (I_h \Lambda E_h \otimes I) \mathbf{R}f(x)$$

R. & Faridani '03

where

E_h, I_h generalized interpolation operators

and

$$\mathbf{R}_{h_{\vartheta}}^* g(x) := h_{\vartheta} \sum_{j=0}^{2p-1} g(x^t \omega(\vartheta_j), \vartheta_j), \quad \vartheta_j = jh_{\vartheta}$$

Remark: The action of $I_h \Lambda E_h$ can be implemented as a convolution (filtering) followed by an interpolation. The convolution kernel (reconstruction filter) depends on I_h and E_h .

Convergence of the semi discrete FBA

Theorem [R.& Faridani '03] Under reasonable assumptions on E_h and I_h there are $0 \leq \alpha_{\min}(E_h, I_h) < \alpha_{\max}(E_h, I_h)$ such that

$$\left\| \frac{1}{4\pi} \mathbf{R}^* (I_h \Lambda E_h \otimes I) \mathbf{R} f - f \right\|_{L^2(\Omega)} \lesssim h^\alpha \|f\|_\alpha$$

for $f \in H_0^\alpha(\Omega)$ where $\alpha_{\min} \leq \alpha \leq \alpha_{\max}$.

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Consequence

$$\left\| \frac{1}{4\pi} \mathbf{R}^* (I_h \Lambda E_h \otimes I) \mathbf{R} f - f \right\|_{L^2(\Omega)} \lesssim h^{\min\{\alpha_{\max}, \alpha\}} \|f\|_\alpha, \quad \alpha > 0$$

$$\alpha_{\max} = \begin{cases} 3/2 & : \text{Shepp-Logan with piecewise constant interpol.} \\ 2 & : \text{Shepp-Logan with piecewise linear interpol.} \\ 5/2 & : \text{mod. Shepp-Logan with piecewise linear interpol.} \end{cases}$$

Error estimate for the fully discrete FBA, part I

$$\begin{aligned} \|f - f_{\text{FBA}}\|_{L^2(\Omega)} &\leq \left\| f - \frac{1}{4\pi} \mathbf{R}^* (\mathbf{I}_h \Lambda E_h \otimes I) \mathbf{R} f \right\|_{L^2(\Omega)} \\ &\quad + \left\| (\mathbf{R}^* - \mathbf{R}_{h,\vartheta}^*) (\mathbf{I}_h \Lambda E_h \otimes I) \mathbf{R} f \right\|_{L^2(\Omega)} \end{aligned}$$

$$\begin{aligned} &\left\| (\mathbf{R}^* - \mathbf{R}_{h,\vartheta}^*) (\mathbf{I}_h \Lambda E_h \otimes I) \mathbf{R} f \right\|_{L^2(\Omega)} \\ &\leq \left\| (\mathbf{R}^* - \mathbf{R}_{h,\vartheta}^*) ((\mathbf{I}_h \Lambda E_h - \Lambda) \otimes I) \mathbf{R} f \right\|_{L^2(\Omega)} \\ &\quad + \left\| (\mathbf{R}^* - \mathbf{R}_{h,\vartheta}^*) (\Lambda \otimes I) \mathbf{R} f \right\|_{L^2(\Omega)}. \end{aligned}$$

Error estimate for the fully discrete FBA, part II

Lemma If $f \in H_0^\alpha(\Omega)$, $\alpha \geq 1$, then $\|(\mathbf{R}^* - \mathbf{R}_{h_\vartheta}^*)(\Lambda \otimes I)\mathbf{R}f\|_{L^2(\Omega)} \lesssim h_\vartheta^\alpha \|f\|_\alpha$.

Proof: Let $f \in C_0^\infty(\Omega)$. We use a duality argument due to Natterer 1979:

$$\begin{aligned} \|(\mathbf{R}^* - \mathbf{R}_{h_\vartheta}^*)(\Lambda \otimes I)\mathbf{R}f\|_{L^2(\Omega)} &= \sup_{g \in C_0^\infty(\Omega)} \frac{|\langle (\mathbf{R}^* - \mathbf{R}_{h_\vartheta}^*)(\Lambda \otimes I)\mathbf{R}f, g \rangle_{L^2}|}{\|g\|_{L^2}} \\ &= \sup_{g \in C_0^\infty(\Omega)} \frac{|\int_0^{2\pi} u(\vartheta) d\vartheta - h_\vartheta \sum_{j=0}^{2p-1} u(\vartheta_j)|}{\|g\|_{L^2}} \\ &\lesssim h_\vartheta^{2k+1} \sup_{g \in C_0^\infty(\Omega)} \frac{\int_0^{2\pi} |u^{(2k+1)}(\vartheta)| d\vartheta}{\|g\|_{L^2}} \end{aligned}$$

with $u(\vartheta) = \int_{\Omega} g(x)(\Lambda \otimes I)\mathbf{R}f(x^t \omega(\vartheta), \vartheta) dx$. As $\int_0^{2\pi} |u^{(2k+1)}(\vartheta)| d\vartheta \lesssim \|f\|_{2k+1} \|g\|_{L^2}$,

$k \in \mathbb{N}_0$, we are done.

Error estimate for the fully discrete FBA, part III

Lemma Let $f \in H_0^\alpha(\Omega)$. There are $1 \leq \alpha_{\min}(E_h, I_h) < \alpha_{\max}(E_h, I_h)$ such that

$$\|(\mathbf{R}^* - \mathbf{R}_{h_\vartheta}^*)((I_h \Lambda E_h - \Lambda) \otimes I) \mathbf{R} f\|_{L^2} \lesssim (h^\alpha + h_\vartheta^\alpha) \|f\|_\alpha, \quad \alpha_{\min} \leq \alpha \leq \alpha_{\max}.$$

Proof: Let $f \in C_0^\infty(\Omega)$ and $\Psi = ((I_h \Lambda E_h - \Lambda) \otimes I) \mathbf{R} f$. Again by duality

$$\begin{aligned} \|(\mathbf{R}^* - \mathbf{R}_{h_\vartheta}^*) \Psi\|_{L^2(\Omega)} &= \sup_{g \in C_0^\infty(\Omega)} \frac{|\int_0^{2\pi} u(\vartheta) d\vartheta - h_\vartheta \sum_{j=0}^{2p-1} u(\vartheta_j)|}{\|g\|_{L^2}} \\ &\lesssim h_\vartheta \sup_{g \in C_0^\infty(\Omega)} \frac{\int_0^{2\pi} |u'(\vartheta)| d\vartheta}{\|g\|_{L^2}} \end{aligned}$$

where $u(\vartheta) := \int_\Omega g(x) \Psi(x^t \omega(\vartheta), \vartheta) dx$. Since

$$\int_0^{2\pi} |u'(\vartheta)| d\vartheta \lesssim \|g\|_{L^2} \|\Psi\|_{H(-1/2, 1)} \lesssim h^{\alpha-1} \|g\|_{L^2} \|f\|_\alpha$$

we are done.

Convergence of the fully discrete FBA

Theorem Under reasonable assumptions on E_h and I_h there are constants $1 \leq \alpha_{\min}(E_h, I_h) < \alpha_{\max}(E_h, I_h)$ such that

$$\left\| \frac{1}{4\pi} \mathbf{R}_{h,\vartheta}^* (I_h \Lambda E_h \otimes I) \mathbf{R} f - f \right\|_{L^2(\Omega)} \lesssim (h^\alpha + h_{\vartheta}^\alpha) \|f\|_\alpha$$

for $f \in H_0^\alpha(\Omega)$ where $\alpha_{\min} \leq \alpha \leq \alpha_{\max}$.

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for $f \in H_0^\alpha(\Omega)$ where $\alpha_{\min} \leq \alpha \leq \alpha_{\max}$.

Consequence

$$\left\| \frac{1}{4\pi} \mathbf{R}_{h,\vartheta}^* (I_h \Lambda E_h \otimes I) \mathbf{R} f - f \right\|_{L^2} \lesssim (h^{\min\{\alpha_{\max}, \alpha\}} + h_\vartheta^{\min\{\alpha_{\max}, \alpha\}}) \|f\|_\alpha, \quad \alpha \geq 1$$

$$\alpha_{\max} = \begin{cases} 3/2 : \text{Shepp-Logan with piecewise constant interpol.} \\ 2 : \text{Shepp-Logan with piecewise linear interpol.} \\ 5/2 : \text{mod. Shepp-Logan with piecewise linear interpol.} \end{cases}$$

Remarks and Comments

- From an asymptotic point of view it is most efficient to choose $h = h_{\vartheta}$ yielding the well-known optimal sampling rate $p = \pi q$. Further, under the optimal sampling rate the convergence rate h^{α} as $h \rightarrow 0$ is optimal for density distributions in $H_0^{\alpha}(\Omega)$ (Natterer 1980).
- One can construct efficient filtered backprojection schemes with an arbitrarily large α_{\max} . Of course, one would fully benefit from these filtered backprojection schemes if the searched-for density distributions are sufficiently smooth which is not the case in medical imaging.
- Unfortunately, our analysis does not cover the medical imaging situation where $f \in H_0^{\alpha}(\Omega)$ with $\alpha < 1/2$ but close to $1/2$.

A modified FBA

$$\begin{aligned} f_{\text{FBA}}(x) &= \frac{1}{4\pi} \mathbf{R}_{h_\vartheta}^* (\mathbf{I}_h \Lambda E_h \otimes I) \mathbf{R} f(x) \\ &= \frac{1}{4\pi} \mathbf{R}_{h_\vartheta}^* (\mathbf{I}_h \otimes I) (\Lambda \otimes I) (E_h \otimes I) \mathbf{R} f(x) \end{aligned}$$

$$\begin{aligned} f_{\text{MFBA}}(x) &:= \frac{1}{4\pi} \mathbf{R}^* (\mathbf{I}_h \otimes I) (\Lambda \otimes I) (E_h \otimes T_{h_\vartheta}) \mathbf{R} f(x) \\ &= \frac{1}{4\pi} \mathbf{R}^* (\mathbf{I}_h \Lambda E_h \otimes T_{h_\vartheta}) \mathbf{R} f(x) \end{aligned}$$

T_{h_ϑ} piecewise linear interpolation

Remark: The evaluation of $f_{\text{MFBA}}(x)$ can be organized as standard FBA with an additional multiplication of the filtered data by a sparse matrix.

Convergence of MFBA

Theorem Under reasonable assumptions on E_h and I_h there are constants $1/2 < \alpha_{\min}(E_h, I_h) < \alpha_{\max}(E_h, I_h)$ such that

$$\left\| \frac{1}{4\pi} \mathbf{R}^* (I_h \Lambda E_h \otimes T_{h,\vartheta}) \mathbf{R} f - f \right\|_{L^2(\Omega)} \lesssim (h^{\min\{\alpha_{\max}, \alpha\}} + h_{\vartheta}^{\min\{\alpha_T, \alpha\}}) \|f\|_{\alpha}$$

for $f \in H_0^{\alpha}(\Omega)$, $\alpha_{\min} \leq \alpha \leq \alpha_{\max}$ and any $\alpha_T < 5/2$.

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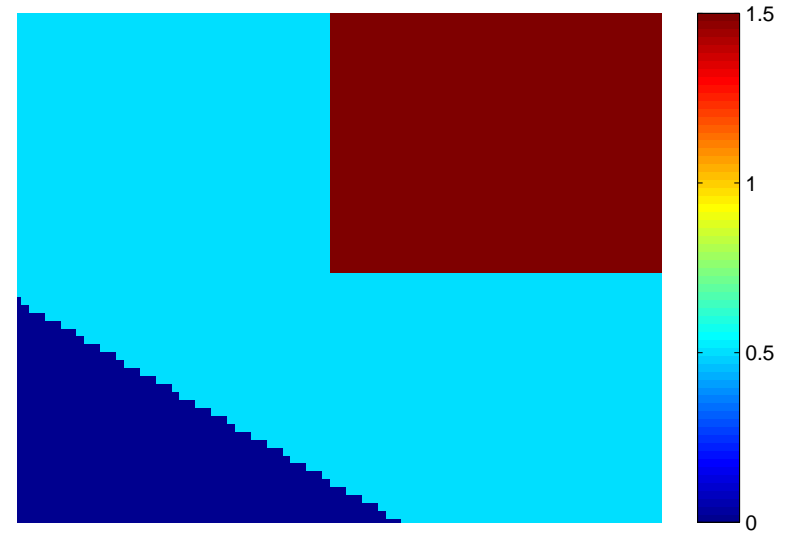
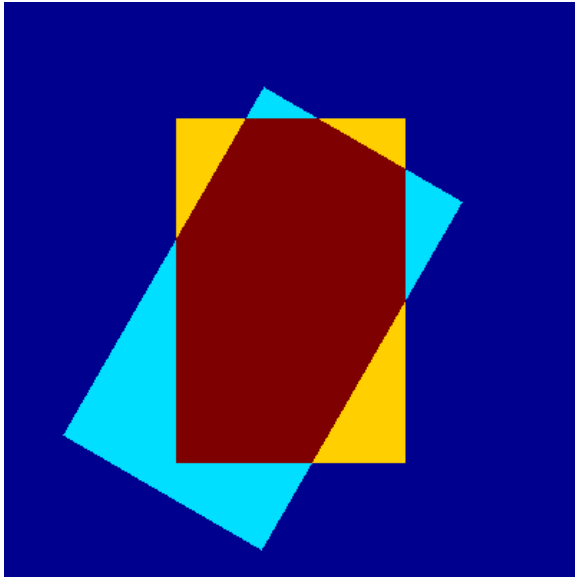
for $f \in H_0^\alpha(\Omega)$, $\alpha_{\min} \leq \alpha \leq \alpha_{\max}$ and any $\alpha_T < 5/2$.

Consequence

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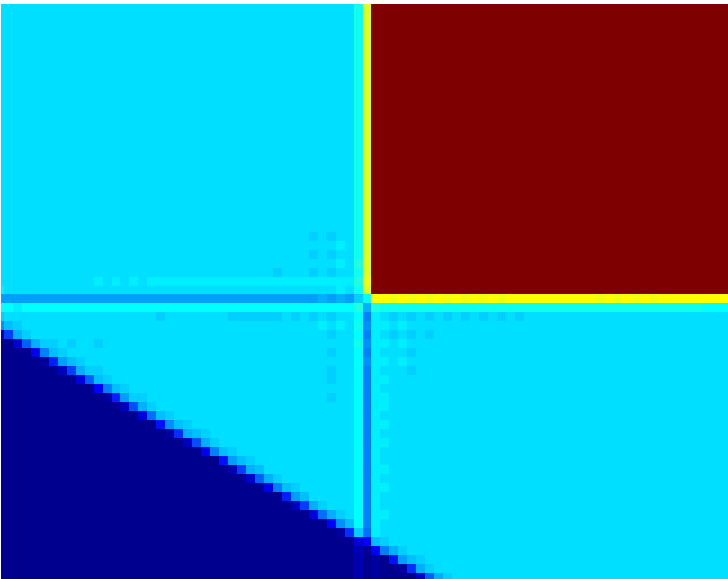
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Numerical comparison I

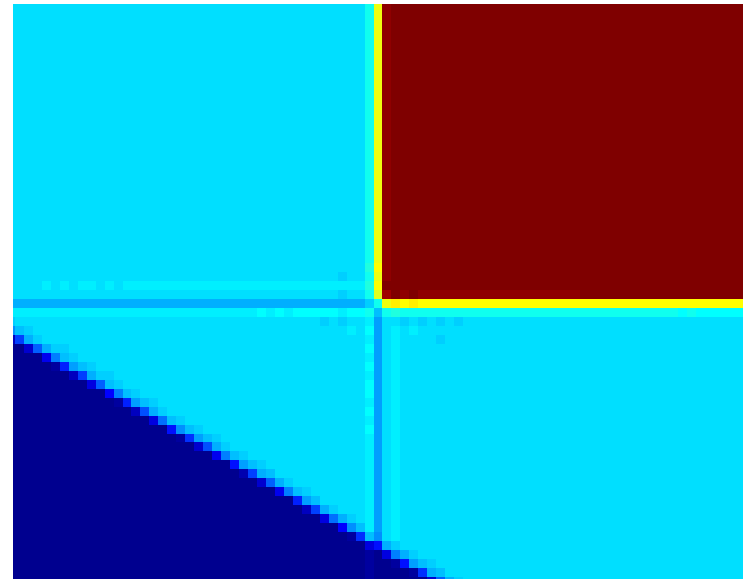


Numerical comparison II

$p = 600$, $q = 200$, Shepp-Logan filter with piecewise linear interpolation



FBA



MFBA