

# Inverse scattering problems in inhomogeneous chiral media

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## Technical Details

time-independent complex-valued material functions: permittivity  $\varepsilon$   
magnetic permeability  $\mu$   
chirality  $\beta$

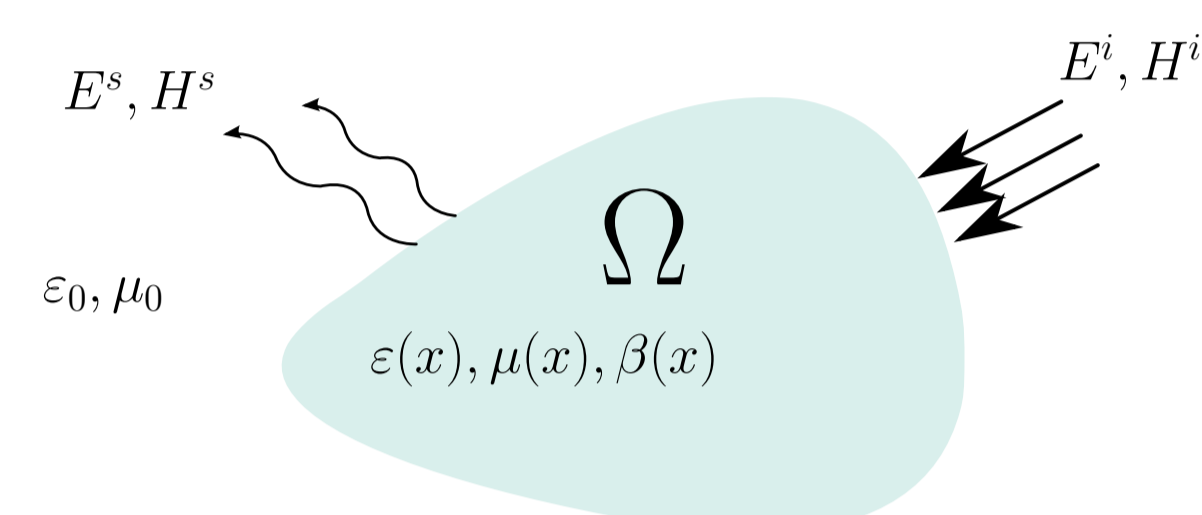


Figure 1: Direct problem setting

wavenumber  $k^2 = \omega^2 \varepsilon_0 \mu_0$   
relative permittivity  $\varepsilon_r := \varepsilon / \varepsilon_0$   
relative permeability  $\mu_r := \mu / \mu_0$   
contrasts  $q_\mu := \mu_r - 1$   
 $q_\varepsilon := 1 - \frac{1}{\varepsilon_r}$

Silver-Müller radiation condition:  
 $\text{curl } H^s(x) \times \frac{x}{|x|} - ikH^s(x) = \mathcal{O}\left(\frac{1}{|x|^2}\right)$  as  $|x| \rightarrow \infty$

$H(\text{curl}, B) := \{v \in L^2(B, \mathbb{C}^3) : v \text{ possesses } L^2\text{-curl}\}$   
 $H_{\text{loc}}(\text{curl}, \mathbb{R}^3) := \{v : v|_B \in H(\text{curl}, B) \text{ f.a. balls } B\}$

Define function  $f$  and bounded operators  $\mathcal{A}_\kappa, \mathcal{B}_\kappa, \mathcal{C}_\kappa : H(\text{curl}, \Omega) \rightarrow H(\text{curl}, \Omega)$

$f(x) := (k^2 + \nabla \text{div}) \int_\Omega g \Phi_\kappa(x, \cdot) dy$

$+ \text{curl} \int_\Omega h \Phi_\kappa(x, \cdot) dy$

$(\mathcal{A}_\kappa v)(x) := (\kappa^2 + \nabla \text{div}) \int_\Omega q_\mu v \Phi_\kappa(x, \cdot) dy$

$+ (\kappa^2 + \nabla \text{div}) \int_\Omega \mu_r \beta \text{curl } v \Phi_\kappa(x, \cdot) dy$

$(\mathcal{B}_\kappa v)(x) := \text{curl} \int_\Omega q_\varepsilon \text{curl } v \Phi_\kappa(x, \cdot) dy$

$(\mathcal{C}_\kappa v)(x) := \text{curl} \int_\Omega [\mu_r \beta (\beta \text{curl } v + v)] \Phi_\kappa(x, \cdot) dy$

Assumptions:  $k > 0, \exists c_1, c_2 > 0$  and  $c_3 \in (0, 1)$ :  
 $\text{Re } \mu_r \geq c_1, \text{Re } \frac{1}{\varepsilon_r} \geq c_2, k^2 \beta^2 \frac{|\varepsilon_r|^2 \mu_r^2}{\text{Re } \varepsilon_r \text{Re } \mu_r} \leq c_3$

## Chirality

In geometry, a figure is CHIRAL if it cannot be mapped to its mirror image by rotations and translations alone. In chemistry, chirality usually refers to molecules. Two mirror images of a chiral molecule are called ENANTIOMERS.

$$\begin{aligned} \text{curl } H &= -i\omega D \\ \text{curl } E &= i\omega B \\ D &= \varepsilon(E + \beta \text{curl } E) \\ B &= \mu(H + \beta \text{curl } H) \end{aligned}$$

Chiral material is optically active: It rotates plane polarized light and left- and right-circularly polarized waves propagate with different phase velocities. Wave propagation in chiral media is governed by Maxwell's equations and the Drude-Born-Fedorov equations (constitutive relations). We treat the time-harmonic case with frequency  $\omega$ , electric field  $E$ , electric induction  $D$ , magnetic field  $H$  and magnetic induction  $B$ .

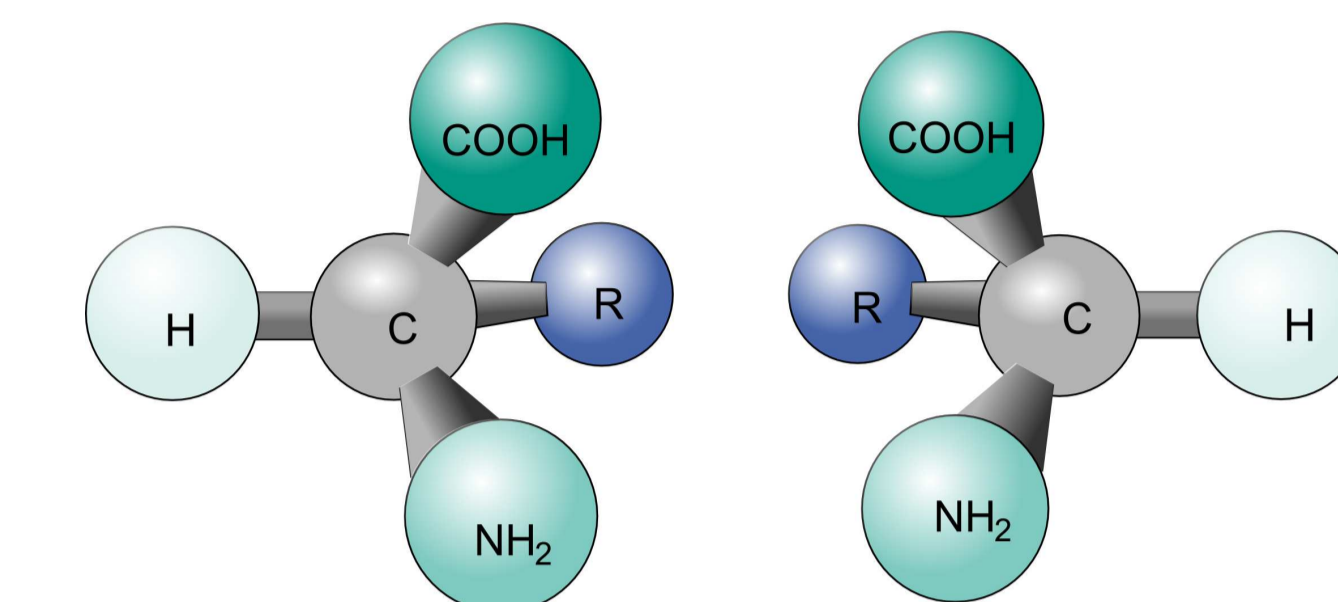


Figure 3: The two enantiomers of a generic amino acid

## Direct scattering problem

Let  $\Omega \subset \mathbb{R}^3$  be a bounded domain with  $\varepsilon \equiv \varepsilon_0, \mu \equiv \mu_0, \beta \equiv 0$  in  $\mathbb{R}^3 \setminus \overline{\Omega}$  and  $\text{Im } \beta = 0$ . The constants  $\varepsilon_0$  and  $\mu_0$  are the permittivity and the magnetic permeability in vacuum. The total fields  $E$  and  $H$  are superpositions of the incident and the scattered fields:  $E = E^i + E^s$  and  $H = H^i + H^s$ . Elimination of the fields  $E, B$  and  $D$  in the above equations leads to a second order equation for  $H$ :

$$\text{curl} \left[ \left( \frac{1}{\varepsilon_r} - k^2 \mu_r \beta^2 \right) \text{curl } H - k^2 \mu_r \beta H \right] - k^2 \mu_r \beta \text{curl } H - k^2 \mu_r H = 0$$

The incident field  $H^i$  satisfies Maxwell's equations in vacuum:  $\text{curl}^2 H^i - k^2 H^i = 0$ . Subtraction of the equations leads to the scattering equation

$$\begin{aligned} \text{curl} \left[ \left( \frac{1}{\varepsilon_r} - k^2 \mu_r \beta^2 \right) \text{curl } H^s - k^2 \mu_r \beta H^s \right] - k^2 \mu_r \beta \text{curl } H^s - k^2 \mu_r H^s \\ = k^2 q_\mu H^i + k^2 \mu_r \beta \text{curl } H^i + \text{curl} \left[ (q_\varepsilon + k^2 \mu_r \beta^2) \text{curl } H^i + k^2 \mu_r \beta H^i \right] \end{aligned} \quad (1)$$

Additionally, we need transmission conditions on  $\partial\Omega$  and a radiation condition for  $H^s$ .

## Variational formulation

For  $g, h \in L^2(\Omega, \mathbb{C}^3)$  determine radiating  $H^s \in H_{\text{loc}}(\text{curl}, \mathbb{R}^3)$  such that

$$\begin{aligned} \int_{\mathbb{R}^3} \left[ \left( \frac{1}{\varepsilon_r} - k^2 \mu_r \beta^2 \right) \text{curl } H^s - k^2 \mu_r \beta H^s \right] \cdot \text{curl } \psi - k^2 [\mu_r \beta \text{curl } H^s + \mu_r H^s] \cdot \psi dx \\ = \int_\Omega k^2 g \cdot \psi + h \cdot \text{curl } \psi dx \end{aligned} \quad (2)$$

for all  $\psi \in H(\text{curl}, \mathbb{R}^3)$  with compact support. Denote by  $\Phi_\kappa(x, y) := \frac{\exp(ik|x-y|)}{4\pi|x-y|}$ ,  $x \neq y$ , the fundamental solution to the scalar Helmholtz equation. Then (2) is equivalent to solving the integro-differential equation [1]

$$\begin{aligned} v(x) = (k^2 + \nabla \text{div}) \int_\Omega [q_\mu v + \mu_r \beta \text{curl } v + g] \Phi_\kappa(x, \cdot) dy \\ + \text{curl} \int_\Omega [q_\varepsilon \text{curl } v + k^2 \mu_r \beta^2 \text{curl } v + k^2 \mu_r \beta v + h] \Phi_\kappa(x, \cdot) dy \end{aligned} \quad (3)$$

Write equation (3) in operator form  $(I - \mathcal{A}_k - \mathcal{B}_k - k^2 \mathcal{C}_k)v = f$ .

## Solvability: Fredholm alternative

**Lemma 1.** (a) The operator  $I - \mathcal{A}_{ik} - \mathcal{B}_{ik} - k^2 \mathcal{C}_{ik}$  is boundedly invertible in  $H(\text{curl}, \Omega)$ .  
(b) The operators  $\mathcal{A}_k - \mathcal{A}_{ik}, \mathcal{B}_k - \mathcal{B}_{ik}$  and  $\mathcal{C}_k - \mathcal{C}_{ik}$  are compact.

**Theorem 1.** The variational problem (2) satisfies the Fredholm alternative, i.e. there exists a unique radiating solution provided uniqueness holds.

## Inverse problem

Every radiating solution  $H^s$  of (2) has the asymptotic form uniformly in all directions  $\hat{x} = x/|x|$

$$H^s(x) = \frac{e^{ik|x|}}{4\pi|x|} \left\{ H^\infty(\hat{x}) + \mathcal{O}\left(\frac{1}{|x|}\right) \right\}, \quad |x| \rightarrow \infty.$$

The function  $H^\infty$  defined on the unit sphere  $S^2$  is called MAGNETIC FAR FIELD PATTERN. Denote by  $H^\infty(\hat{x}; d, p)$  the far field pattern of the scattered field caused by the incident field  $H^i(x; d, p) := pe^{ik \cdot d \cdot x}$  for a direction of incidence  $d$  and a polarization vector  $p$  with  $d \cdot p = 0$ .

**Inverse Problem.** Given  $H^\infty(\hat{x}; d, p)$  for all  $\hat{x}, d \in S^2$  and  $p \in \mathbb{C}^3$  with  $d \cdot p = 0$  localize  $\Omega$ .

**Far field operator.**  $\mathcal{F} : L_t^2(S^2) \rightarrow L_t^2(S^2)$ ,  $p \mapsto \int_{S^2} H^\infty(\cdot; d, p(d)) ds(d)$

## Factorization method

Assume (2) is uniquely solvable (e.g.  $\text{Im } \varepsilon > 0$ ).  $\mathcal{F}$  can be factorized as  $\mathcal{F} = \mathcal{H}^* \mathcal{T} \mathcal{H}$  with operators  $\mathcal{H}p = (\mathcal{H}_1 p, \mathcal{H}_2 p)^\top$ , its adjoint  $\mathcal{H}^* f = \sum_j \mathcal{H}_j^* f_j$  and  $\mathcal{T}$ .  $\mathcal{T}$  involves a solution of an equation similar to (1).

$$\begin{array}{ccc} L_t^2(S^2) & \xrightarrow{\mathcal{F}} & L_t^2(S^2) \\ \mathcal{H} \downarrow & \text{///} & \uparrow \mathcal{H}^* \\ (L^2(\Omega, \mathbb{C}^3))^2 & \xrightarrow{\mathcal{T}} & (L^2(\Omega, \mathbb{C}^3))^2 \end{array}$$

Figure 2: Factorization of  $\mathcal{F}$

## Localization of the scatterer

For any  $z \in \mathbb{R}^3$  we define an explicit function  $\phi_z \in L_t^2(S^2)$  such that the following equivalence holds:

$$z \in \Omega \iff \phi_z \in \mathcal{R}(\mathcal{H}^*)$$

**Theorem 2.** Assume  $\exists c_3, c_4 > 0 : \text{Im } q_\varepsilon > c_3 |q_\varepsilon|$  and  $\text{Im } q_\mu > c_4 |q_\mu|$ . Then

(a) The operator  $\text{Im } \mathcal{T} = \frac{1}{2i}(\mathcal{T} - \mathcal{T}^*)$  is coercive on  $\mathcal{R}(\mathcal{H})$ .

(b) The ranges of  $\mathcal{H}^*$  and  $(\text{Im } \mathcal{F})^{1/2}$  coincide [2].

## References

- [1] A. KIRSCH, *An integral equation approach and the interior transmission problem for Maxwell's equations*, Inverse Problems and Imaging, 1 (2007), pp. 159–180.
- [2] A. KIRSCH AND N.I. GRINBERG, *The Factorization Method for Inverse Problems*, Oxford Lecture Series in Mathematics and its Applications 36, Oxford University Press, 2008.

## Technical Details

subspace of tangential fields:  
 $L_t^2(S^2) := \{p \in L^2(S^2, \mathbb{C}^3) : p(d) \cdot d = 0, d \in S^2\}$

Define 2 modified Herglotz operators  $\mathcal{H}_j : L_t^2(S^2) \rightarrow L^2(\Omega, \mathbb{C}^3)$

$(\mathcal{H}_1 p)(y) := \sqrt{|q_\mu(y)|} \int_{S^2} p(d) e^{ik \cdot d \cdot y} ds(d)$

$(\mathcal{H}_2 p)(y) := \sqrt{|q_\varepsilon(y)|} \text{curl} \int_{S^2} p(d) e^{ik \cdot d \cdot y} ds(d)$

and their adjoints  $\mathcal{H}_j^* : L^2(\Omega, \mathbb{C}^3) \rightarrow L_t^2(S^2)$

$(\mathcal{H}_1^* \varphi_1)(d) = d \times \int_\Omega \varphi_1(y) \sqrt{|q_\mu(y)|} e^{-ik \cdot d \cdot y} dy \times d$

$(\mathcal{H}_2^* \varphi_2)(d) = ik d \times \int_\Omega \varphi_2(y) \sqrt{|q_\varepsilon(y)|} e^{-ik \cdot d \cdot y} dy$

operator  $\mathcal{T} : (L^2(\Omega, \mathbb{C}^3))^2 \rightarrow (L^2(\Omega, \mathbb{C}^3))^2$

$$\begin{aligned} \mathcal{T}(f_1, f_2) := & \left( \begin{array}{l} k^2 \text{sgn } q_\mu (f_1 + \sqrt{|q_\mu|} v) \\ (k^2 \frac{\mu_r \beta^2}{|q_\mu|} + \text{sgn } q_\varepsilon) (f_2 + \sqrt{|q_\varepsilon|} \text{curl } v) \\ + k^2 \frac{\mu_r \beta}{\sqrt{|q_\mu|} |q_\varepsilon|} \left( \begin{array}{l} f_2 + \sqrt{|q_\varepsilon|} \text{curl } v \\ f_1 + \sqrt{|q_\mu|} v \end{array} \right) \end{array} \right) \end{aligned}$$

$v$  is a solution of

$\text{curl} \left[ \left( \frac{1}{\varepsilon_r} - k^2 \mu_r \beta^2 \right) \text{curl } v - k^2 \mu_r \beta v \right] - k^2 [\mu_r \beta \text{curl } v + \mu_r v]$

$= k^2 \left[ \frac{q_\mu}{\sqrt{|q_\mu|}} f_1 + \frac{\mu_r \beta}{\sqrt{|q_\varepsilon|}} f_2 \right] + \text{curl} \left[ \frac{q_\varepsilon + k^2 \mu_r \beta^2}{\sqrt{|q_\varepsilon|}} f_2 + k^2 \frac{\mu_r \beta}{\sqrt{|q_\mu|}} f_1 \right]$

$\phi_z(d) := \{-d \times (d \times p_1) + ik d \times p_2\} e^{-ik \cdot d \cdot z}$ ,  $d \in S^2$ ,  
for fixed  $p_1, p_2 \in \mathbb{C}$ .